

# IAQG OPMT ICOP Resolutions Log

Revision: December 20, 2017



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IAQG OPMT approved Resolutions are indicated by the active status 'Y' and applicability to one or more sectors. IAQG OPMT Resolutions adopted by individual sectors are used to provide clarification regarding sector operations with the applicable sector(s) noted.				Active Y/N	Sector Applicability		
Number	Date	Resolution	Status		AAQG	EAQG	APAQG
148 Replaces Resolution Numbers #90, 103 and 141	December 20, 2017	<p><b>9104-001 Clauses 8.2.e, 8.5.c, 9 and Appendix C. 9104-002 clauses 7.14 and 7.15: Use of OASIS Next Generation by Certification Bodies (CB's)</b></p> <p>For audits conducted to the 9100:2016 (or later) series of the Aerospace Quality Management System (AQMS) standards; the CB shall be responsible for input of all the required data into the OASIS Next Generation database. This shall include the utilization of the online functionality for audit planning, the Audit Duration Calculator (ADC), 9101:2016 (or later) forms completion and corrective action management.</p> <p>CB's shall have a process in place to use the 9104-001 ADC application in the OASIS database to support validation of 9104-001 client certification structures and audit duration calculation decisions. CB's shall generate a 9104-001 ADC report in OASIS for each audit activity that is to be performed (initial, surveillance, and recertification). The ADC shall be completed before the on-site audit is started.</p> <p>CB's shall input contact information including names and addresses, 9101 Audit Summary Results and the Scope of Certification into the OASIS database using the English language. Other 9101 audit report information, other forms or certificated client responses to nonconformities entered into or uploaded to the OASIS database are not required to be in English.</p> <p>For audits conducted to the 9100:2009 series of the AQMS standards, the CB shall be responsible for input of all the required data into the OASIS Next Generation database by using the legacy .pdf upload functionality.</p>	This resolution replaces IAQG OPMT Resolutions 141 (which was effective from December 12, 2016), 103 (which was effective April 25, 2013) and 90 (which was effective December 22, 2011) and is effective immediately at the date of publication. This resolution shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 and 9104-002 standards.	Y	X	X	X
147 Replaces Resolution #65	December 20, 2017	<p><b>9104-001 clause 7.2 and 9104-003 clause 3.16 – AQMS Auditor Work Experience</b></p> <p>9104-001 section 7.2 and 9104-003 section 3.16 define full time work experience requirements. When considering an auditor applicant's work experience, work as a full-time contract employee may be included. In considering if the experience is applicable it shall be confirmed that the contract work was full time for a single organization during a given time period. Working full time as a contractor by completing assignments at many organizations during the same time period shall not be considered as full-time experience for the purpose of auditor authentication. In addition, the work activity shall meet the other requirements in 9104-001 and 9104-003 including the type of organization and the work function.</p>	This resolution replaces IAQG OPMT Resolution 65 (which was effective from October 15, 2009) and is effective immediately at the date of publication. This resolution shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 and 9104-003 standards.	Y	X	X	X

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146	December 20, 2017	<p><b>9104-001 clause 2 - International Accreditation Forum (IAF) Publications</b></p> <p>The IAF Mandatory Documents that are referenced within the 9104-001 standard shall be replaced with the latest published version of the document, when a new version is published. Certification Body (CB) application of the revised mandatory document shall be in line with the IAF published application date stated within the revised mandatory document. ISO/IEC standards that are revised shall also be utilized in lieu of the outdated referenced standards. They shall be implemented in accordance with IAF mandated timelines.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X
145 Replaces Resolution #128	December 20, 2017	<p><b>ISO/IEC 17021-1 clause 4.6 and 9.4.8.1 and 9104-001 clause 6.7.h)</b></p> <p>Certification Bodies may redact or "black out" any references to individuals or information that is deemed confidential, restricted or controlled on any retained documented information including audit reports that is entered into the OASIS database. Redaction may be needed in order to comply with regional, national, or international provisions or regulations that relate to privacy, confidentiality, security or export control. Certification Bodies shall ensure that, if required information is to be redacted, it shall be linked to supplemental documentation that is retained with no redaction or "black out" in accordance with established documentation retention requirements</p>	This resolution replaces IAQG OPMT Resolution 128 (which was effective from June 29, 2015) and is effective immediately at the date of publication. This resolution shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X
144 Replaces Resolution #97	May 10, 2017	<p><b>9104-003 Tables 1 and 2</b></p> <p>A 'full' audit as described in Tables 1 and 2 and section 7 of 9104-003 shall be interpreted as follows:</p> <ul style="list-style-type: none"> <li>The audit and associated audit records used as experience or evidence of witnessed audits shall include and demonstrate direct evaluation and acceptable auditing of all clauses of the standard.</li> <li>If any clause is a permissible exclusion (section 7 of ISO 9001:2008 or associated AQMS), or a justified non-applicability of a requirement of ISO 9001:2015 or associated AQMS within the scope of the audit as shown within the audit records, further audit records or witnessed assessment records are required to demonstrate experience of direct evaluation of the full detail of all of the certification standard.</li> <li>For fulfillment of the 4 full audits requirement for audit experience, 2 of the 4 audits presented may exclude design and development (section 7.3 of ISO 9001:2008 or section 8.3 of 9001:2015).</li> <li>Any combination of audits and associated requirements based on either ISO 9001:2008 or ISO 9001:2015 is acceptable.</li> </ul> <p>Note: A clause is described by the section and the first level of clause e.g. 4.1 or 7.5.</p>	This resolution replaces IAQG OPMT Resolution 97 (which was effective from November 29, 2012) and is effective immediately at the date of publication. This resolution shall be withdrawn following incorporation and implementation of the next revision of the 9104-003 standard.	Y	X	X	X

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143	May 10, 2017	<p><b>ISO/IEC 17021-1:2015 clauses 5.1.2, 6.2, 9.5.1.2, 9.5.1.3 and 9104-001 clauses 5.3.b) and c) – Operational and organizational control</b></p> <p>All CB data and records that demonstrate evidence of conformance to ISO/IEC 17021-1:2015 and 9104-001 requirements for the ICOP scheme (including all of the activities documented in 9104-001 clause 5.3.c) shall be available for assessment and oversight at the nominated CB lead office (9104-001 clause 5.3.b) inclusive of all associated contracts and agreements including financial arrangements with applicant or certificated clients and all documents dealing with employed or contracted persons.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X	
142	May 10, 2017	<p><b>9104-001 clause 8.8, ISO/IEC 17021:2011 and IAF MD 2:2007 – Transfer of certification between accredited Certification Bodies (CBs)</b></p> <p>For the transfer of accredited AQMS certification or for an initial AQMS certification where an organization has been previously certificated for an AQMS standard the CB shall:</p> <ul style="list-style-type: none"> <li>• Determine if any authenticated AQMS auditor (AEA or AA) selected for the audit has already participated in an AQMS certification audit for the same organization for any CB in the last 3 years. The above audit may be either the special transfer audit or any other applicable certification audit.</li> <li>• Document the situation (as determined above) as a risk arising from a potential conflict of interest during the application and pre-transfer review process (in accordance with ISO 17021-1 clause 5.2.3) and then analyze and treat the risks (i.e. take appropriate action) relating to the potential conflict of interest arising from this situation.</li> <li>• The analysis and treatment (i.e. actions taken) shall be retained as documented information</li> </ul>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X	
141	December 12, 2016	<p><b>9104-001 Clause 8.5.c and Appendix C</b></p> <p><del>For audits conducted to the 9100:2016 (or later) series of the Aerospace Quality Management System (AQMS) standards, the CB shall be responsible for input of all the required data into the OASIS Next Generation database. This shall include the utilization of the online functionality for audit planning, 9101:2016 (or later) forms completion and corrective action management.</del></p> <p>Certified organizations shall support the CB AQMS audit process via direct input into the OASIS Next Generation database, including audit planning data and the online corrective action management process.</p> <p><del>For audits conducted to the 9100: 2009 series of the AQMS standards, the CB shall be responsible for input of all the required data into the OASIS Next Generation database by using the legacy .pdf upload functionality.</del></p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p> <p>Text that has been struck through has been replaced by Resolution #148</p>	Y	X	X	X	

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140	December 12, 2016	<p><b>9100/9110/9120:2016 and 9101:2016 Transition</b></p> <p>The IAQG OPMT has established Supplemental Rule 003 – Rules for 9100/9110/9120:2016 and 9101:2016 Transition, revised December 12, 2016 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2016 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2016.</p> <p>This supplemental rule supports the decisions made by the IAQG General Assembly in October 2015 for the transition to the 2016 versions of the AQMS standards (9100/9110/9120).</p>	This resolution is effective immediately at the date of publication and shall remain in effect until transition is completed including the upload of all audit and certification data to the OASIS database.	Y	X	X	X
138	October 12, 2016	<p><b>Clarification of 9104-002 Clause 3.11. Other Party (OP) assessor</b></p> <p>In addition to 9104-002 clause 3.11, the following applies: An OP Assessor shall not be a contractor, a former staff member, a temporary worker, a consultant or consulting service provider.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-002 standard.	Y	X	X	X
137 Replaces Resolution #123	October 12, 2016	<p><b>9104-003 clause 7.6 and 9104-001 clause 10.8 - Recognition of Auditor Authentication</b></p> <p>Where an AQMS auditor authenticated with one AAB applies to move their authentication(s) to another AAB to continue their existing AQMS Auditor Authentication(s), the following shall apply:</p> <ol style="list-style-type: none"> <li>AQMS auditor authentication with a new AAB shall only take place at re-authentication</li> <li>All AQMS auditor authentications held with the existing AAB shall be moved at the same time to the new AAB.</li> <li>The new AAB shall ensure the OASIS record of the authenticated AQMS auditor shows current validity (i.e. not suspended, withdrawn or expired) for both the AQMS standard and the grade (i.e. AA or AEA) of authentication for each authentication.</li> <li>The new AAB shall ensure that all 9104-series requirements for re-authentication(s) are fulfilled.</li> <li>The application for authentication does not include the re-grade of an AQMS auditor authentication from AA to AEA or include an extension for another AQMS standard.</li> </ol> <p>Upon a successful application (including SMS endorsement where applicable), the new AAB shall update OASIS accordingly with no change in expiration dates from current authentication(s) and shall inform the existing AAB.</p> <p>Upon notification from the new AAB, the existing AAB shall ensure:</p> <ol style="list-style-type: none"> <li>OASIS is updated to withdraw the existing applicable authentication(s).</li> <li>Authentication records are maintained for a minimum of two authentication cycles and shall be made available upon request.</li> </ol>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-003 standard.	Y	X	X	X

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137 Replaces Resolution #123	October 12, 2016	<p>Where the application is determined to be unsuccessful, the new AAB shall advise both the AQMS auditor and their existing AAB. The AQMS auditor shall be permitted to continue their re-authentication with their existing AAB, but they shall advise the AAB that they have been rejected by another AAB and give the reasons for the rejection. If the AQMS auditor's authentication status has expired in this period, then the auditor application must be treated as an initial application by the AAB.</p> <p>In the event of withdrawal of an AAB by an SMS, an AQMS auditor authenticated by that AAB is permitted to apply to transfer their existing AQMS Auditor Authentication(s) to a new AAB. The new AAB shall:</p> <ul style="list-style-type: none"> <li>Request all applicable auditor records (for two authentication cycles) from the withdrawn AAB</li> <li>Validate the existing auditor authentication(s) and update OASIS accordingly with no change in expiration dates from current authentication(s) upon receipt of applicable auditor records from the withdrawn AAB.</li> <li>Advise the relevant SMS and IAQG OPMT in the event where the applicable records are not available from the withdrawn AAB. In such instances, a decision shall be agreed with the relevant SMS and IAQG OPMT with regard to the authentication status for the applicable auditor(s)</li> <li>Inform the withdrawn AAB of the decision</li> </ul> <p>Following confirmation from the new AAB, all AQMS auditor authentication(s) for the auditor at the withdrawn AAB shall be withdrawn in OASIS.</p>					
136	October 12, 2016	<p><b>9104-001 Clause 5.1 &amp; 6.7g and IAF-ILAC A5:11/2013 clause M 8.1.1e</b></p> <p>AB's shall:</p> <ul style="list-style-type: none"> <li>Identify to accredited and applicant scheme CB's geographic locations and rights of access restrictions which will prevent the AB from undertaking assessments to support the scheme</li> <li>Ensure that CB's have enforceable arrangements for "right of access" with client organizations in all countries of the CB's operations covered by the AB's Accreditation. The arrangements shall include AB witnessing and OP Assessment oversight.</li> </ul> <p>CB's shall ensure for a new or existing client that:</p> <ul style="list-style-type: none"> <li>There are enforceable arrangements for "rights of access" by AB's and other interested parties. If restrictions are identified with respect to this access (e.g. matters of citizenship, proprietary processes) they shall be fully communicated to ABs and other interested parties prior to contracting with the client.</li> <li>When limitations to access are identified, CB's shall contact their accrediting AB to ensure that the AB can support assessment activity with the CB client organization within the identified access limitations.</li> </ul>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X

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		<ul style="list-style-type: none"> <li>Client organizations are informed on the consequences of not providing access where rights of access limitations cannot be resolved (loss of certification) and shall work to resolve any issues (e.g. limit the scope of certification, better understanding of the specific limitation(s), remove a site from the certification) in respect to access limitations.</li> </ul> <p>For an existing Certificate, where a "rights of access" issue is identified and cannot be resolved within 90 days of the publication of this resolution, then the CB shall provide the option to the client to either transfer to another accredited CB with arrangements from their AB where there are no restrictions on rights of access with their AB or have their AQMS certification withdrawn.</p>						
135	April 27, 2016	<p><b>9104-001:2013 Clause 8.2.2: Correction of the NF EN 9104-001 standard published 12 March 2014 clause 8.2.2</b></p> <p>There is an error in the translation of the French version of NF EN 9104-001 dated 12 Mars 2014 clause 8.2.2. as follows:</p> <p>Published English language version of EN 9104-001:2013 states: "Auditing of the entire AQMS standard <b>on all shifts</b> is required for initial and recertification audits. For surveillance audits, the planning shall include coverage of multiple shifts, when the audit plan activities occur across multiple shifts."</p> <p>In NF 9104-001 12 Mars 2014 the French translation for clause 8.2.2 states: "Pour les audits initiaux et de renouvellement, l'audit de la norme de SMQA complète est exigé <b>pour toutes les périodes de travail en équipe</b>. Pour les audits de surveillance, la planification doit couvrir les équipes multiples lorsque les activités du plan d'audit impliquent plusieurs équipes."</p> <p>NF 9104-001 12 Mars 2014 shall be correctly read as: « Pour les audits initiaux et de renouvellement, l'audit de la norme de SMQA complète est exigé <b>pour le travail par équipe</b>. Pour les audits de surveillance, la planification doit couvrir les équipes multiples lorsque les activités du plan d'audit impliquent plusieurs équipes. »</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p>	Y	X	X	X	
134	April 27, 2016	<p><b>9104-001 Clauses 8.2.c) - Increased audit duration for nonconformance verification</b></p> <p>A standard increase in audit duration specific to corrective action verification (e.g. ½ day) is not required. The audit plan shall clearly indicate the corrective action verification activity with appropriate time allotted. Additional onsite time may be added to the standard 8-hour audit day to address corrective action verification. (reference 8.2.2e)</p> <p>The requirement per 9104-001 clause 8.2.e for justification of the determined audit duration shall be documented and a record maintained.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p>	Y	X	X	X	

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133	February 10, 2016	<b>ISO/IEC 17021-1:2015 clause 5.2.3 and 9104-001 clause 6.4 – Impartiality</b>  Where an accredited certification body decides not to have an impartiality committee then one of the “appropriate interested parties” as defined in ISO/IEC 17021-1:2015 shall meet the requirements of 9104-001, clause 6.4.	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X
132	February 10, 2016	<b>IAF ID 11 – Transition for ISO/IEC 17021-1:2015</b>  The IAQG endorses the information and principles set out in ID 11 including the ISO/IEC 17021-1:2015 transition deadline date of 2 years from the date of publication of ISO/IEC 17021-1:2015 i.e. June 15, 2017.	This resolution is effective immediately at the date of publication and will remain valid until the transition to ISO/IEC 17021-1:2015 is completed.	Y	X	X	X
131	February 10, 2016	<b>IAF ID 9 – Transition for ISO 9001:2015</b>  The IAQG endorses the information and principles set out in IAF ID 9 including the ISO 9001:2015 transition deadline date of 3 years from the date of publication of ISO 9001:2015 i.e. September 15, 2018.  AQMS certification documents that also refer to ISO 9001 shall not contain mixed or non-aligned editions of standards e.g. ISO 9001:2015 and AS9100C (technically equivalent to EN 9100:2009 or JISQ 9100:2009).  Where a certification document is issued or re-issued to an AQMS standard that is prior to the 2016 editions, the CB shall follow one of the following options; 1) The certification expiry date on the certification document and in the OASIS database shall not exceed September 15, 2018. 2) The Certification document shall contain a clear statement that the certification will not be valid after September 15, 2018.	This resolution is effective immediately at the date of publication and will remain valid until the transition to the 2016 editions of the AQMS standards is completed.	Y	X	X	X
130	October 19, 2015	<b>9104-002 clause 5.2.2 – Other Party (OP) Assessor Training Requirement</b>  Completion of the IAQG OPMT OP Assessor training course is mandatory for all Other Party (OP) Assessors prior to conducting any ICOP scheme shared or supplemental oversight. Where mandated, subsequent OP Assessor training course updates (e.g. transition or delta training) shall also be completed by existing OP Assessors.  Evidence of training course completion shall be submitted to the respective Sector Management Structure (SMS) or Certification Body Management Committee (CBMC) oversight chair prior to supporting a shared oversight assessment.	This resolution is effective from January 1, 2017 and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X

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		<p>All OP Assessor training classes and instructors shall be approved by the IAQG OPMT voting members.</p> <p>Note: The EAQG OPMT implemented OP Assessor training from January 2011 with subsequent approved delta training satisfies the requirements for initial OP Assessor training.</p>						
129	October 19, 2015	<p><b>9104-001 clause 6.7.h) &amp; 8.7- AQMS Certification Withdrawal and the OASIS database</b></p> <p>A CB shall not withdraw a certificate in the OASIS database until a certification decision has been made by an appropriately competent and authorized person within the CB to withdraw the applicable AQMS certification. A CB shall only use the upload of an initial certification audit to the OASIS database to change the status of a certification from 'withdrawn' to 'suspended' or 'certified'. A CB shall not use the upload of any audit, except an initial certification audit, to the OASIS database to change the status of a certification in the OASIS database from 'withdrawn' to 'suspended' or 'certified'.</p> <p>Where the status of an issued certification is mistakenly changed to 'withdrawn' the CB shall approach their accrediting AB and the applicable IAQG OASIS database administrator for their sector with an explanation and appropriate corrective action and request support for the re-instatement of the AQMS certification on the OASIS database. The AB shall assess conformance with ISO 17021 and 9104-001 requirements and positively confirm the validity of the CB's request. A CB shall only use the OASIS certificate modification process to re-instate a withdrawn certificate once the AB and applicable IAQG Sector OASIS administrator are satisfied that a 'mistake' was made and that appropriate corrective action has been implemented.</p>	is resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X	
127 Replacing 29	June 29, 2015	<p><b>ISO 17021 clause 9.2.1.a) and 9104-001 clause 6.6</b></p> <p>Organizations that do not have aviation, space or defence products or services within the scope of their documented management systems may apply for and be certified to one or more of the AQMS standards (9100/9110/9120) provided they are operating within the scope of application of the applicable AQMS standard and comply with all of the applicable provisions of the ICOP scheme.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard	Y	X	X	X	
126 Replacing 115 and 118	June 29, 2015	<p><b>9104-001 clause 6.7.c) and 9104-003 clauses 5.1, 5.4, 5.5 and Tables 1 and 2 – Training requirements for AQMS Auditors - Aerospace Auditors (AA) and Aerospace Experienced Auditors (AEA)</b></p> <p>The following requirements for AQMS Auditor Training shall be used to replace the requirements for Foundation and Standard AQMS Auditor training courses defined in 9104-003:</p> <p>1) Foundation Course training requirements for AQMS Auditors of grades AA and AEA is replaced by the IAQG sanctioned Aerospace Auditor Transition Training (AATT) and is mandatory for all AQMS Auditors.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard	Y	X	X	X	



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126 Replacing 115 and 118	June 29, 2015	<p>2) All approved Standard Courses shall be updated to include the IAQG sanctioned AATT training course effective November 1, 2014 in its entirety in addition to the requirements for ISO 9001 QMS training. The duration of the Standard Auditor Course shall include the required training hours for the IAQG sanctioned AATT training course plus the required hours for the ISO 9001 QMS training.</p> <p>3) The Industry Specific Course shall remain as documented in 9104-003.</p> <p>4) All auditors are required to complete the IAQG sanctioned AATT training courses to be authenticated as AQMS Auditors of any grade.</p> <p>5) Training Provider Approval Bodies (TPABs) shall document a process for approval of Training Providers (TPs) and any courses that requires approval per 9104-003. The process shall include some level of assessment activity prior to the approval of any training courses, including any revisions to IAQG OPMT Sanctioned Training as may be defined by the IAQG OPMT.</p> <p>6) TPABs are not required to approve the course content of the IAQG Sanctioned AATT training delivered by approved TPs.</p> <p>7) TPs offering IAQG Sanctioned AATT training shall conform to all of the organizational requirements established in 9104-003 and ensure that their instructors have successfully completed the IAQG sanctioned ASDE "train the trainer" course and any other required IAQG sanctioned updates for this course.</p> <p>8) To align the re-exam requirements of 9104-003 paragraph 6.10.1 and 6.10.2 the following shall apply only to IAQG AATT examinations:</p> <p>a) A student who fails the written examination shall be allowed two re-examinations (application only) with no specified timeframe for taking either the knowledge or application exams. The re-examination shall be the IAQG sanctioned re-examination. The re-examination shall be taken in the presence of an approved proctor, as described in the training provider's procedures. The student may choose to retake the full AATT course in lieu of re-examination.</p> <p>b) A student who fails the re-examination twice must re-take the full AATT training course including final exams.</p> <p>9) To incorporate use of 9101E / 9101:2014 within the ICOP scheme the following requirements shall apply:</p> <p><b><u>Authenticated AQMS Auditors</u></b></p> <p>The IAQG 9101E / 9101:2014 Online Update training module is mandatory for all auditors authenticated using the AATT course prior to the November 1, 2014 release, and the update training shall be completed before conducting any AQMS audits using the 9101E / 9101:2014</p>					

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
126 Replacing 115 and 118	June 29, 2015	<p>standard. Each auditor shall provide a copy of their Certificate of 9101E / 9101:2014 Training Module Completion to their CB (or AB if applicable) for their records. A copy of their 9101E / 9101:2014 Certificate of Training Module Completion shall also be provided to their AAB at their next auditor re-authentication application.</p> <p><b><u>AAB</u></b></p> <p>Auditor Authentication Bodies (AAB) shall update their initial and re-authentication application processes to require a 9101E / 9101:2014 Certificate of Training Module Completion to be submitted as evidence of completion, prior to the authentication decision.</p> <p>After July 1, 2015, AAs and AEAs shall not be authenticated or re-authenticated without either:</p> <ol style="list-style-type: none"> <li>The original AATT course certificate and a "IAQG 9101E / 9101:2014 Online Update Training" certificate, or</li> <li>A Certificate for the revised AATT that includes 9101E/9101:2014 (effective from November 1, 2014)</li> </ol> <p><b><u>AB</u></b></p> <p>AB Assessors shall complete the 9101E / 9101:2014 Online Update Training Module or the full updated AATT that includes 9101E / 9101:2014 (effective from November 1, 2014) before undertaking any CB witness assessments or CB AQMS audit report reviews that utilize or include the 9101E / 9101:2014 forms (as per 9104-001 clause 5.4.1.b).</p>					

# IAQG OPMT ICOP Resolutions Log

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IAQG OPMT approved Resolutions are indicated by the active status 'Y' and applicability to one or more sectors. IAQG OPMT Resolutions adopted by individual sectors are used to provide clarification regarding sector operations with the applicable sector(s) noted.				Active Y/N	Sector Applicability		
Number	Date	Resolution	Status		AAQG	EAQG	APAQG
125	December 17, 2014	<p><b>9104-001 clause 8.5.c), 8.6.d) and e) and ISO/IEC 17021 clause 8.2.2 and 8.2.3.b) – Issue, Expiry and re-Issue dates in the OASIS Database and on CB certification documents.</b></p> <p><b>Certificate Issue Date:</b> The date of granting of the certification by the Certification Body (CB) for initial certification. For recertification i.e. renewing certification, it is the first valid date of the new certification cycle i.e. the first day after the expiry date of the current three year cycle. This issue date applies to initial or recertification certificates that are granted and issued once all applicable criteria have been met. The issue date shall be indicated on the certificate and the same date shall be entered in the OASIS database as the "Issue Date". Once established for given certifications cycle the Issue Date shall not change. When a certificate is transferred, the new CB shall not change the previously established Certification Issue Date.</p> <p><b>Certificate Reissue Date:</b> The date of the certification decision for an existing certificate that is granting the reissue of the certification by the CB after the "Issue Date" and prior to the current "Expiry Date". Once all certification criteria have been met, a certificate may be re-issued based on items such as; a transfer, a change in; scope, address, client name or after adding or removing sites. The date of the certification decision granting the reissue of the certificate shall be listed on the reissued AQMS certificate and the same date shall be entered into OASIS database as the "Reissue Date". The "Issue Date" and "Expiry Date" shall not be changed following a certification decision to grant the reissue the AQMS certificate.</p> <p><b>Certification Expiry Date:</b> For the initial three-year certification cycle the date of expiry of the AQMS certification shall be three calendar years less one day from the date of the granting of the initial certification. For subsequent three year certification cycles the date of expiry of the AQMS certification shall be three calendar years less one day from the first valid date of the recertification of an AQMS certification. A recertification decision may be made up to three months before the expiry of the current certification cycle in accordance with the IAF Technical Committee Decision 12.4 09/03/09. The Expiry Date shall be listed on the AQMS certificate and the same date shall be entered into the OASIS database as the 'Expire Date' of the AQMS Certification. When a certificate is transferred, the new CB shall not change the previously established Certification Expiry Date of the current certification cycle. The Certification Expiry Date and the Recertification Due Date as described in ISO/IEC 17021:2011 shall be understood to be the last valid date of the current three year certification cycle.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard or amendment of the OASIS database to incorporate these requirements</p>	Y	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
124 Revision to 119	September 29, 2014	<p><b>9104-001 clause 8.8, ISO/IEC 17021:2011 and IAF MD 2:2007 – Transfer of certification between accredited Certification Bodies (CBs)</b></p> <p>The issuing (current) CB shall cooperate with the accepting (new) CB to facilitate the transfer of a valid accredited AQMS certification. The accepting (new) CB shall maintain documented evidence of communication with the issuing (current) CB. This communication shall be uploaded and communicated to the issuing (current) CB by use of the OASIS feedback process</p> <p>When the accepting (new) CB issues the transferred certificate they will advise the issuing (current) CB so that the OASIS feedback generated during the transfer period can be appropriately concluded.</p> <p>Where an AQMS certificate subject to transfer has been previously suspended by the issuing (current) CB, the suspension of the existing certificate shall prevent the transfer from occurring until the suspension is lifted by the issuing (current) CB.</p> <p>The issuing (current) CB shall not use notification of a transfer of a valid AQMS certification as justification for suspension or withdrawal of the existing certificate before the transfer process to the accepting (new) CB is completed. If the issuing (current) CB takes punitive action without cause, the accepting CB and/or the transferring client can lodge a complaint by raising an OASIS Feedback Request to the appropriate AB.</p> <p>The accepting (new) CB shall not use the initial certification process to avoid resolving outstanding non-conformities or any other issue that adversely affects the integrity of the certification.</p>	<p>This resolution is an update to IAQG OPMT Resolution 119 dated May 22, 2014 and is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p>	Y	X	X	X
122	September 29, 2014	<p><b>9104-001 Clause 5.3.b), 5.3.c), 16.0 and IAF MD 12 – Alignment of requirements</b></p> <p>The CB single office location described as the CB Lead Office in 9104-001 clause 5.3.b) shall be understood to be a 'Fixed Office Location' as defined and described in IAF MD 12. Personnel employed or contracted by the accredited CB that do not normally work at the CB Lead Office, as per 9104-001 clauses 5.3.b) and 5.3.c), shall be understood to be 'Remote Personnel' as described and defined in IAF MD 12.</p> <p>AB's that are approved to work within the IAQG ICOP scheme shall apply IAF MD 12 during the cross-frontier assessment of accredited CB's operating within the ICOP scheme. CB's that are accredited and recognised within the IAQG ICOP scheme shall co-operate with the accrediting AB to achieve conformance to IAF MD 12 requirements.</p> <p>"Remote Personnel" shall not maintain certification process records. All records shall be available through the CB Lead Office.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p>	Y	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
121	September 29, 2014	<p><b>9104-001 clause 8.6.h) – Use of the IAQG Logo on CB certification documents</b></p> <p>The applicable accrediting AB symbol shall be included on the AQMS certification document. Other symbols may include the logos and symbols of either the NAIA or SMS. As an alternative to either the NAIA or the SMS logo the IAQG logo may be used.</p> <p>Where the IAQG logo is used it may only be used by the CB on its certification documents in the form(s) and colours provided by the IAQG OPMT. The IAQG logo may not be amended or re-coloured in any way. The IAQG logo may be re-sized to fit the certification document. The IAQG Logo shall not receive greater prominence than any other symbol or logo on the CB's certification document. The IAQG logo shall not be used by the CB in any other CB document and shall not be used by the clients of the CB.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X
117	May 22, 2014	<p><b>9104-001 clause 6.6 and 8.3.1 - Implementation of 9101E / 9101:2014 "Quality Management Systems Audit Requirements for Aviation, Space, and Defense Organizations"</b></p> <p>Certification Bodies (CBs) shall implement the 9101E / 9101:2014 audit requirements standard no later than July 01, 2015. This timeline may be accelerated and implementation can occur upon completion of the following:</p> <ul style="list-style-type: none"> <li>• CB procedures and processes shall be updated to address conformance and to the requirements of the revised standard, including access to approved 9101 Forms on the IAQG Forms Management System website or other approved 9101 forms in other languages as may be provided by the IAQG sectors. CB clients shall be notified when the revised standard will be used for AQMS audits.</li> <li>• Authenticated Aerospace Auditors and Aerospace Experienced Auditors using the revised standard and associated forms shall have completed the web based IAQG OPMT sanctioned "IAQG 9101E/9101:2014 Online Update Training Module" course. The CB shall maintain records of each AQMS Auditor's successful completion of the 9101E / 9101:2014 training module.</li> <li>• CB's support personnel (e.g.; management, administrative) shall complete internal awareness training on any changes that impact audit support processes.</li> <li>• Each CB shall advise their respective Accreditation Body (AB) and SMS (or CBMC) in writing that they have established conformance to the revised standard and this resolution.</li> </ul> <p>All prior versions of the 9101 "Quality Management Systems Audit Requirements for Aviation, Space, and Defense Organization" standard (e.g.; 9101D / 9101:2011) and associated forms shall no longer be used to record 9100/9110/9120 audits after July 1, 2015.</p> <p>ABs, OP Assessors and CBs shall co-ordinate prior to all witness and office assessments of CB's to ensure that the issue or version of 9101 is known by all parties prior to the conduct of a witness or office assessment.</p>	This resolution is effective from May 22, 2014 and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard or next update to the 9101 standard.	Y	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
113	February 27, 2014	<p><b>9104-001 clause 8.10 - Application of CAAT to a Campus Certification Structure</b></p> <p>During the application of CAAT, as defined in IAF MD 4, to a Campus Certification structure the following shall apply:</p> <ul style="list-style-type: none"> <li>On-site audit time is not reduced; instead a portion of the time can be allocated to the remote audit activities using appropriate CAAT in accordance with 9104-001 clause 8.10.b).</li> <li>AB approval must be obtained prior to use of the CAAT process. Note: AB's may choose to review and approve each application of the CAAT process on a CB client by client basis.</li> <li>All sites must be physically visited during initial certification and recertification audits. Note: CAAT remote auditing may be used to eliminate an onsite audit at a campus site during surveillance.</li> <li>Each site within the campus structure shall be visited at least once during the 2 year surveillance cycle.</li> <li>All sites within the Campus structure must be audited at least annually using CAAT remote auditing or onsite auditing.</li> <li>No more than 30% of the calculated on site audit duration shall be met using an AB approved CAAT process.</li> <li>The 9101 audit report shall clearly indicate the use of CAAT and the amount of audit duration that was supported by the CAAT process.</li> <li>All other principles and requirements from IAF MD 4 shall apply in full.</li> </ul>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.	Y	X	X	X
112	October 7, 2013	<p><b>9104-003 Clause 5.4: Conformity Requirements for Initial Authentication</b></p> <p>Where an AQMS auditor currently authenticated as an AA submits an application to become an AEA, the auditor shall submit evidence demonstrating compliance with all elements and criteria defined in 9104-003 Tables 1 and 2 (i.e. Auditor recognition, Audit experience, completion of AQMS auditor training/Industry specific training, AQMS work experience and auditor evaluation), even if some of the evidence was previously submitted and evaluated during the initial application for AQMS auditor authentication as an AA.</p> <p>Evidence of Audit experience submitted with the application for AEA may include evidence of the 4 'full' audits used for a previous application for authentication including any 'full' audits from the Auditor Evaluation criteria (i.e. 'full' audits that have been witnessed by an AEA who themselves have not themselves become qualified via industry specific training as defined in the note of 9104-003 Tables 1 and 2) providing the audits were completed within the past three years prior to the date of application to become an AEA.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-003 standard.	Y	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
110	August 01, 2013	<p><b>9104-001 clause 8.6. and ISO/IEC 17021 clause 8.2.3.e): 9100 series standards reference on AQMS Certificates or AB Accreditation Documents</b></p> <p>When referencing an AQMS standard on a certification or accreditation document, the AQMS standard referenced shall be written in full i.e. the AQMS standard(s) including issue letter or revision date, and shall not be abbreviated or changed i.e. AS9100C or EN 9100:2009 or JISQ 9100:2009. The AQMS standard(s) identified shall be the AQMS standard(s) used for audit of the client.</p> <p>Where there is a requirement for the CB's or AB's client to refer to more than one equivalent AQMS standard issued by other IAQG sectors, the primary AQMS standard shall be referenced in full and the technically equivalent standards shall be referenced as per one of the following examples given in preferred order:</p> <ul style="list-style-type: none"> <li>- AS9100C (technically equivalent to EN 9100:2009 and JISQ 9100:2009)</li> <li>- EN 9100:2009, AS9100C, JISQ 9100:2009</li> <li>- JISQ 9100:2009 / EN 9100:2009 / AS9100C</li> </ul> <p>The form AS / EN / JISQ 9100:2009 or similar shall not be used.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following implementation of the next revision of the 9104-001 standard.	Y	X	X	X
109	August 01, 2013	<p><b>9104-003 Table 2 for 9110 AEA Authentication</b></p> <p>The "specific repair and maintenance training" referred to in 9104-003 Table 2 as an alternate to 2 years in the last 4 years work experience in repair and maintenance activities shall be interpreted as the 9110 Industry Specific Training Course reference A3 as documented in 9104-003.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following implementation of the next revision of the 9104-003 standard.	Y	X	X	X
107	June 27, 2013	<p><b>9104-003 Clause 15.3 Authority of the Sector Management Structure</b></p> <p>Where the Sector Management Structure (SMS) approval of a Training Provider Approval Body (TPAB) or Auditor Authentication Body (AAB) is withdrawn, current approvals or certificates issued by the applicable AAB or TPAB shall be valid and eligible for transfer to another approved TPAB or AAB for a maximum of six months after the withdrawal of the AAB or TPAB, or until the Auditor or TP's authentication / approval / certificate expiration; whichever is less.</p> <p>The accepting AAB or TPAB shall have the responsibility to obtain, review and validate conformance of the required authentication(s) or approval decision records, prior to making their authentication(s) or approval decision.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following implementation of the next revision of the 9104-003 standard.	Y	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
106	June 27, 2013	<p><b>9104-003 Clause 6.4: Training Instructor Performance Monitoring</b></p> <p>Training Providers (TPs) shall have a process for the monitoring of satisfactory instructor performance including the delivery of training in accordance with 9104-003 clause 6.4. This process shall define instructor competency and ongoing training performance requirements in order for instructors to remain current as competent trainers.</p> <p>The Training Provider Approval Body (TPAB) approving the TP shall undertake periodic review of the conformance of the TP to 9104-003 requirements which shall include a review of the TP's competence and instructor performance management processes.</p>	This resolution is effective immediately at the date of publication and shall be withdrawn following implementation of the next revision of the 9104-003 standard.	Y	X	X	X
105	June 27, 2013	<p><b>Certification of Integrated Management Systems:</b></p> <p>The audit of an organization's integrated management system against 2 or more sets of audit criteria or standards conducted at the same time shall be conducted in accordance with IAF MD 11 with the following additions:</p> <ul style="list-style-type: none"> <li>- Combined and integrated AQMS audits (e.g. 9100, 9110, 9120) are allowed as defined in 9104-001, clause 8.2.3.</li> <li>- Certification Bodies shall maintain an AB accredited process that defines their specific process for managing and conducting combined audits of integrated management systems that includes the management of auditor competence for conducting combined audits.</li> <li>- CBs shall not combine the ICOP scheme AQMS audit results with the audit results for other certification schemes (e.g. ISO 14001) in a single audit report. The 9101 report shall be a standalone report and conform to established requirements. If required, an additional separate audit report should be generated for the combined standard(s).</li> <li>- On site audit time for the AQMS standard shall not be used to audit any of the other management system requirements.</li> <li>- No reductions in the 9104-001 calculated AQMS audit duration shall be applied and the associated audit reports shall clearly indicate time used for the AQMS portion of the audit.</li> <li>- The CB shall add time for the additional management system audit activity in accordance with IAF MD 11 and, upon request, provide objective evidence that no AQMS audit duration was used to audit the additional management system standard(s).</li> </ul>	This resolution replaces IAQG OPMT Resolution 101 and is effective immediately at the date of publication and shall be withdrawn following incorporation and subsequent implementation of the next revision of the 9104-001 standard.	Y	X	X	X



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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
104	June 27, 2013	<p><b>ISO/IEC 17021 clauses 9.1.1.2 and 9.4, 9104-001 clause 8.5: Recertification</b></p> <p>The recertification process is the entirety of functions relating to recertification from receipt of application or the start of planning for recertification through to the granting of certification including the upload and publication of a valid certificate and recertification audit information to the OASIS database and the issue of a valid certificate to the certified organization.</p> <p>The recertification process shall be completed prior to the expiration date of the existing certificate. Where, exceptionally, the upload and publication of a valid certificate and recertification audit information in OASIS cannot be achieved before certificate expiry, all of the required information shall be uploaded and published in the OASIS database in less than 30 calendar days after the expiration of the certification. CB's shall not issue a valid certificate to the certified organization until the upload and publication in OASIS is complete.</p> <p>Where the recertification process, excluding OASIS upload and publication, is not completed before certification expiry or where the audit and certification data is not uploaded and published in OASIS in less than 30 calendar days after the expiration of the certification, the issuing CB shall withdraw the existing AQMS certification and the CB and organization shall initiate a new full initial AQMS certification.</p>	<p>This resolution clarifies resolution 86 which was effective from June 15, 2011. This resolution is effective from June 27, 2013 and shall be withdrawn following implementation of the next revision of the 9104-001 standard.</p>	Y	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
102	December 20, 2012	<p><b>Clarification of 9104-003 requirements for AQMS auditor Authentication as applied to Accreditation Body (AB) Assessors:</b></p> <p>Should an Assessor that is employed or contracted by an AB wish to become authenticated as an AQMS Auditor the requirements of 9104-003 shall apply however AB Assessors may use CB office assessments conducted against ISO/IEC 17021 or ISO/IEC 17021 combined with 9104 or 9104-001 requirements in lieu of any requirements for QMS or AQMS audit experience for initial authentication.</p> <p>AB Assessors may also use assessments conducted against ISO/IEC 17021 combined with 9104 or 9104-001 as audit experience for re-authentication.</p> <p>In all cases assessments submitted shall only include assessments that demonstrate direct evaluation by the AB assessor of a Certification Body during office assessments.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following implementation of the next revision of the 9104-003 standard.</p> <p>In order to allow AB assessors that have been previously refused authentication or re-authentication or have not applied for authentication on the basis of 9104 or 9104-003 requirements, AABs may accept AB Assessor applications using experience, knowledge and training applicable at any time since the original publication of 9104 in 2004. This waiver is only valid for two calendar years from the original date of publication of this resolution or until 9104-003 is republished using a competency model framework, whichever is earlier.</p>	Y	X	X	X
100	December 20, 2012	<p><b>9104-001 Clause 8.5b</b></p> <p>If auditors identify what they believe to be inaccurate, incomplete or unapproved changes to information represented as verbal or written objective evidence during the course of any type of 9100/9110/9120 certification audit (e.g. initial, surveillance, recertification or special audit) the Audit Team Leader shall classify the associated nonconformance in accordance with the 9101 definitions of Major or Minor. If the nonconformity is categorized as Major the audit team leader shall advise the certified organization that its certification status is in jeopardy as a consequence of this nonconformity.</p> <p>The CB shall initiate their certificate suspension or withdrawal process to determine whether the documented nonconformity warrants suspension or withdrawal of the certified organization's 9100/9110/9120 certificate.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p>	Y	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
98	December 20, 2012	<p>All sectors agree there are no certification extensions past 3 years. There shall be no special recognition of short term certificates.</p> <p>The CBs can approach their ABs and IAQG OPMT together, which are willing to review specific requests for special circumstances for surveillance and recertification. The CB shall provide a detailed plan to the AB for bringing the certification in line with 17021 requirements.</p>	<p>This resolution replaces IAQG OPMT Resolution 86 (which was effective from June 15, 2011) and is effective immediately at the date of publication.</p> <p>This resolution shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p> <p>Note: IAQG OPMT Resolution 86 was previously published as IAQG OPMT Resolution 31 which was effective from February 19, 2006.</p>	Y	X	X	X
96	June 1, 2012	<p><b>Clarification for 9104-001, clause 8.3.8:</b></p> <p>For transition to 9104-001, implementation of section 8.3.8 is retroactive. Whereby, if an audit team leader has been the team leader for a specific client for two consecutive certification cycles the CB shall remove the auditor from the team leader role, for that specific client, at the next re-certification audit, after the CB's transition to 9104-1. Note: This only impacts audits going forward after implementation of 9104-1 by the CB and does not impact the clients' certificate or previous audits that were conducted by the Lead Auditor where the Lead Auditor served more than two consecutive certification cycles.</p> <p>Once an auditor has served as the audit team leader for a specific client for two full consecutive certification cycles and has been removed from the team leader role they shall not be assigned as the audit team leader again, for the specific client, until after the next re-certification audit (i.e. after one full certification cycle of 3 years). The auditor may serve as a team member during this time.</p> <p>Note: The intent of this resolution is to ensure continued objectivity of the assigned audit team leader.</p>	<p>This resolution is effective from June 1, 2012 and will be cancelled by the IAQG OPMT when updated, superseded or incorporated in the next version of 9104-001.</p>	Y	X	X	X

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Number	Date	Resolution	Status	AAQG		EAQG	APAQG	
91	December 22, 2011	<p><b>Clarification of 9104-003 Section 8.1</b> for maintenance of authentication (re-authentication): For each of the audits submitted for consideration for the maintenance of authentication (re-authentication) the required 'participation' shall mean direct involvement as part of the audit team as an auditor or audit team leader and submitted audits shall not include any audits where the applicant was; an observer, an auditor or assessor under training, a witness assessor, an oversight witness assessor, a witness assessor for a CB or similar.</p> <p>'Aerospace audits' shall be limited to only those audits conducted against an Aerospace Quality Management System (AQMS) Standard i.e. 9100, 9110 or 9120.</p> <p>Clarification of 9104/3 Sections 5.4, Tables 1 and 2 and 7.4 concerning initial authentication with regard to audit experience: Applications for initial authentication shall only include audits where the applicant has experienced direct involvement as part of the audit team as an auditor or audit team leader and submitted audits shall not include any audit where the applicant was an observer, an auditor or assessor under training, a witness assessor, an oversight witness assessor, a witness assessor for a CB or similar.</p>	Effective January 1, 2012 - Resolution to remain in effect until updated or cancelled by the IAQG OPMT	Y	X	X	X	
79	October 20, 2010	9104-3 Section 8.1 "Maintenance of AEA approval". EN 9104-003:2009 has been published with an error in the text. To ensure harmonization with other sectors the sentence "Participate in a minimum of 4 (four) audits over 3 (three) years conducted to the applicable AQMS" shall read "Participate in a minimum of 4 (four) AQMS standard audits over 3 (three) years."	This resolution is made effective from the publication date of the last revision of EN 9104-003 - April 15, 2009 and will remain effective until it is updated or withdrawn following incorporation into a revision to EN 9104-003.	Y		X		
78	October 20, 2010	<p><b>9104 section 1 Scope</b> states: IAQG members have committed to recognize the equivalence of certification/registration of a suppliers quality management system to either of the AS, EN or JISQ/SJAC standards.</p> <p>In addition, 9104 Section 11.3 states: Auditor authentication in any sector to the requirements of this document shall be recognized in the other sectors.</p> <p>Given the global recognition of organization certification and global recognition of auditor authentication it is clearly the intent of the 9104 defined ICOP process that auditor training that meets the requirements of 9104, 9104/3, and OPMT resolutions shall also be globally recognized. The Training Provider must be approved by a Sector Management Structure (SMS) recognized Training Provider Approval Body (TPAB).</p> <p>This resolution requires all ICOP approved AABs to recognize training certificates provided by any ICOP recognized training provider.</p>	This resolution was made effective from March 1, 2007 to recognize existing certificates issued by approved Training Providers and will remain in effective until it is updated or withdrawn following publication of the next version of 9104-003.	Y	X	X	X	

# IAQG OPMT ICOP Resolutions Log

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IAQG OPMT approved Resolutions are indicated by the active status 'Y' and applicability to one or more sectors. IAQG OPMT Resolutions adopted by individual sectors are used to provide clarification regarding sector operations with the applicable sector(s) noted.				Active Y/N	Sector Applicability		
Number	Date	Resolution	Status		AAQG	EAQG	APAQG
72	February 24, 2010	<p><b>9104-002, Clause 3.12</b> defines an Other Party (OP) Assessor as an IAQG member representative.</p> <p>This resolution clarifies that an IAQG member representative includes a full time employee from an aviation, space, and defense manufacturing; maintenance, repair, and overhaul; or distribution company that has been recognized by the sector (i.e. the SMS and / or national CBMC) as having membership status.</p> <p>9104-002, Clause 5.1 defines OP Assessor qualifications</p> <p>The applicable SMS shall ensure that designated OP assessors that are eligible via the above resolution are qualified in accordance with 9104-002.</p> <p>The applicable SMS or CBMC Surveillance Chair shall sign the 9104-002 Appendix I (ICOP Declaration Form for OP Assessors Conducting 9104 Oversight) in addition to the OP Assessor's Company Representative as evidence of OP Assessor qualification and recognition.</p>	Effective February 24, 2010 and shall remain in place until and will be withdrawn with the publication of the next revision of 9104-002.	Y	X	X	X
64	October 15, 2009	<p>The decision to grant authentication or re-authenticate an AA or AEA applicant, or subsequently extend the scope or withdraw authentication shall be made by the AAB on the basis of information and objective evidence gathered to support the decision.</p> <p>Those who make the decision to grant, extend the scope or withdraw authentication shall not have participated in the training, work experience or any evaluation of the auditor including any witness audits and shall not have any relationship (e.g. personal, contractual, voluntary or formal) with the applicant that may present a potential conflict of interest to the impartiality of the decision. Any such potential conflicts of interest shall be documented together with any resolution.</p> <p>Where an AAB cannot resolve a potential conflict of interest, the AAB may invite other suitably competent person(s) to participate in the decision or refer a recommendation for decision to the CBMC or SMS providing that requirements for confidentiality and impartiality are maintained.</p> <p>An impartial process shall be available to address appeals against decisions made by the AAB, or where a decision is referred to the CBMC or SMS. The decision of the SMS shall be final.</p> <p>In the event of a decision to withdraw the authentication of an AA or AEA the authenticating AAB shall provide for the following arrangements:</p> <ul style="list-style-type: none"> <li>- Following compulsory withdrawal of authentication, provide for a mandatory period of withdrawal of not less than 12 months duration from the date of the decision to withdraw.</li> <li>- Where withdrawal is voluntary, the period of withdrawal of authentication shall be agreed with the AAB.</li> <li>- Voluntary withdrawal shall not be used to avoid or reduce any period of compulsory withdrawal. In these cases any period of compulsory withdrawal shall be added to the period of voluntary withdrawal.</li> </ul>	This resolution shall be effective from October 15, 2009 and shall remain in place until superseded by 9104-003 re-write.	Y		X	

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Number	Date	Resolution	Status	AAQG		EAQG	APAQG	
		<p>- <b>Note:</b> The compulsory period of withdrawal of authentication may be extended up to and including a permanent withdrawal of authentication based on the severity of the evidence used to make the decision to withdraw authentication but shall not be extended such that the period of withdrawal breaches any local or national law or regulation.</p> <p>Examples where permanent withdrawal may be considered include but are not limited to;</p> <ul style="list-style-type: none"> <li>- Proven falsification of audit documentation or reports, audit findings or audit conclusions</li> <li>- Actions during audit activity that may or have brought the IAQG into disrepute</li> <li>- Action or lack of action that has resulted in aviation safety concerns requiring OEM or NAA action independent of the audit process</li> </ul> <p>Where the reasons for withdrawal are related to non-adherence to 9104 series or related requirements, the undertaking of a process of correction and corrective action with the AA or AEA such that objective evidence of effective auditing and adherence to requirements is established before an application or recommendation and subsequent decision to authenticate after a withdrawal can be made.</p> <p>Where the reasons for withdrawal are connected with ineffective auditor performance or other actions associated with on-site audit activity, correction and corrective action shall include an on-site witness assessment by an OP Assessor, AB Assessor or an equivalently competent member of AAB who has not participated in either the decision to authenticate or withdraw the auditor and who does not have any potential conflict of interest with the auditor for the witness assessment.</p>						
61	October 15, 2009	Where an AEA is already authenticated for 9100 and is seeking AEA authentication for another AQMS standard the aerospace experience utilized for initial authentication may be used for additional authentications to demonstrate compliance to the 4 years in 10 aerospace experience or the 2 years in 15 aerospace experience provided the original 9100 authentication has been continuously maintained and is current.	This resolution shall be effective from October 15, 2009 and shall remain in place until superseded by 9104-003 re-write.	Y	X	X	X	
34	October 5, 2006	<b>9104 Section 7.4.1.3</b> - Applicants fulfilling the specific training in repair and overhaul (7.4.1.1) shall also shadow one complete 9110 audit by a 9110 AEA approved based on having 2 years in the last four years of repair and overhaul experience. Witness audits shall be completed by participating in 9110 audits.	Resolution can be cancelled with clarification in next revision of 9104 or 9104-003 standard.	Y	X	X	X	

End of section for Open Resolutions

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## Withdrawn Resolutions – most recent is listed first

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
139	October 12, 2016	<p><b>9100/9110/9120:2016 and 9101:2016 Transition</b></p> <p>The IAQG OPMT has established Supplemental Rule 003 – Rules for 9100/9110/9120:2016 and 9101:2016 Transition, dated October 12, 2016 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2016 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2016.</p> <p>This supplemental rule supports the decisions made by the IAQG General Assembly in October 2015 for the transition to the 2016 versions of the AQMS standards (9100/9110/9120).</p>	<p>This resolution is effective immediately at the date of publication and shall remain in effect until transition is completed including the upload of all audit and certification data to the OASIS database.</p> <p><b>This resolution was withdrawn on December 12, 2016 and replaced with Resolution 140</b></p>	N	X	X	X
128 Replacing #56	June 29, 2015	<p><b>ISO 17021 clause 9.1.10 and 9104-001 clause 6.7.h)</b></p> <p>Certification Bodies may redact or "black out" with appropriate methods any references to individuals or information that is deemed confidential, restricted or controlled on any documents including audit reports that are uploaded to the OASIS database in order to comply with regional, national, or international provisions or regulations that relate to privacy, confidentiality, security or export control.</p> <p>Certification Bodies shall ensure that the original documents including audit reports are retained with no redaction or "black out" in accordance with established record retention requirements.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard</p> <p><b>This resolution was withdrawn on December 20, 2017 and replaced with Resolution 145</b></p>	N	X	X	X
123 Revision to 111	September 29, 2014	<p><b>9104-003 clause 7.6 and 9104-001 clause 10.8 - Recognition of Auditor Authentication</b></p> <p>Where an AQMS auditor authenticated with one AAB applies to another AAB to continue their existing AQMS Auditor Authentication(s) the following shall apply:</p> <ul style="list-style-type: none"> <li>• AQMS auditor authentication with a new AAB shall only take place at re-authentication or in the event of an AAB withdrawal by an SMS.</li> <li>• The new AAB shall ensure that all 9104-series requirements for re-authentication are fulfilled and before making an authentication decision shall document objective evidence and records that positively confirm the following:                             <ol style="list-style-type: none"> <li>a) Full and complete records of the AQMS auditor authentication(s) including supporting objective evidence and any subsequent re-authentication for the six years prior to the application to the new AAB are available and are obtained from the existing AAB.</li> <li>b) The most recent authentication or re-authentication decision taken by the existing AAB was valid in conformance with the requirements for AQMS Auditor Authentication at the time of the authentication or re-authentication decision.</li> </ol> </li> </ul>	<p>This resolution is an update to IAQG OPMT Resolution 111 dated October 7, 2013 and is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 and 9104-003 standards</p> <p><b>This resolution was withdrawn on October 9, 2016 and replaced with Resolution 137</b></p>	N	X	X	X

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		<p>c) The OASIS record of the authenticated AQMS auditor shows current validity (i.e. not suspended, withdrawn or expired) for both the AQMS standard and the grade (i.e. AA or AEA) of authentication for each authentication.</p> <p>d) The application for authentication does not include the re-grade of an AQMS auditor authentication from AA to AEA or include an extension for another AQMS standard.</p> <ul style="list-style-type: none"> <li>Where any of the above items (a to d inclusive) cannot be completely and fully verified as in conformance with requirements for AQMS auditor authentication, any application for authentication or re-authentication shall be treated as a new application for AQMS Auditor Authentication.</li> <li>Following the authentication decision at the new AAB, all AQMS auditor authentications for the applicant at the existing AAB are withdrawn.</li> <li>AAB's shall implement arrangements to provide for the sharing of the details of the AQMS Auditor authentication with any other approved AAB in the event that an authenticated AQMS Auditor applies to another approved AAB</li> </ul>						
120	May 22, 2014	<p>Transition to the revised 9104-002 standard shall be managed by IAQG Member Companies, Stakeholders, the IAQG Other Party Management Team (OPMT) and respective Sector Management Structures including:</p> <ul style="list-style-type: none"> <li>Mandatory conformance within 18 months of publication.</li> <li>Use of the latest revisions of the 9104-002 oversight assessment checks sheets and declaration forms located on the IAQG Forms website or other approved 9104-002 forms in other languages as may be provided by the IAQG sectors.</li> </ul> <p>Each SMS shall provide notice to the IAQG OPMT when conformance has been established.</p>	<p>This resolution is effective immediately at the date of publication of 9104-002 in each sector in 2014 and shall be withdrawn within 24 months of the publication of the 2014 version of 9104-002</p> <p><b>This resolution was withdrawn on August 11, 2016</b></p>	<b>N</b>	<b>X</b>	<b>X</b>	<b>X</b>	
119	May 22, 2014	<p><b>9104-001 clause 8.8, ISO/IEC 17021:2011 and IAF MD 2:2007 – Transfer of certification between accredited Certification Bodies (CBs)</b></p> <p>The issuing (current) CB shall cooperate with the accepting (new) CB to facilitate the transfer of a valid accredited AQMS certification. The accepting (new) CB shall maintain documented evidence of communication with the issuing (current) CB. This communication shall be uploaded and communicated to the issuing (current) CB by use of the OASIS feedback process</p> <p>When the accepting (new) CB issues the transferred certificate they will advise the issuing (current) CB so that the OASIS feedback generated during the transfer period can be appropriately concluded.</p> <p>Where an AQMS certificate subject to transfer has been previously suspended by the issuing (current) CB, the suspension of the existing certificate shall prevent the transfer from occurring until the suspension is lifted by the issuing (current) CB.</p> <p>The issuing (current) CB shall not use notification of a transfer of a valid AQMS certification as justification for suspension or withdrawal of the existing certificate before the transfer process to the accepting (new) CB is completed. If the issuing (current) CB takes punitive action without</p>	<p>This resolution is effective immediately from May 22, 2014 and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p> <p><b>This resolution was withdrawn on September 29, 2014 and replaced with Resolution 124.</b></p>	<b>N</b>	<b>X</b>	<b>X</b>	<b>X</b>	



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		cause, the accepting CB and/or the transferring client can lodge a complaint by raising an OASIS Feedback Request to the appropriate AB.					
118	May 22, 2014	<p><b>9104-001 clause 6.7.c) and 8.3 and 9104-003 clauses 5.1 Training requirements for AQMS Auditors - Aerospace Auditors (AA) and Aerospace Experienced Auditors (AEA) - 9101E / 9101:2014 Auditor Training</b></p> <p>Ongoing AQMS standard training requirements for existing authenticated Aerospace Auditors (AA) and Aerospace Experienced Auditors (AEA) shall be satisfied by the IAQG 9101E/9101:2014 Online Update Training Module. This training module is mandatory for all existing authenticated AQMS auditors and shall be completed before conducting any AQMS audits using the 9101E / 9101:2014 standard. A 9101E / 9101:2014 Certificate of Training Module Completion shall be awarded upon completion of the training. Each auditor shall provide a copy of their Certificate of Training Module Completion to their CB for their records.</p> <p>Auditor Authentication Bodies (AAB) shall update their initial and re-authentication application processes to require a 9101E / 9101:2014 Certificate of Training Module Completion to be submitted as evidence of completion, prior to the authentication decision. After July 1, 2015, AAs and AEAs shall not be authenticated or re-authenticated without a "IAQG 9101E / 9101:2014 Online Update Training" certificate or a certificate issued for the complete AAT to include 9101E/9101:2014 training after the updated AAT is made available</p> <p>AB Assessors shall complete the 9101E / 9101:2014 Online Update Training Module before undertaking any CB witness or office assessments that utilize the 9101E / 9101:2014 forms.</p>	<p>This resolution is effective immediately from May 22, 2014 and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 and 9104-003 standards.</p> <p><b>Withdrawn June 29, 2015 and replaced by IAQG OPMT Resolution 126</b></p>	N	X	X	X
116	February 27, 2014	<p>As of March 7, 2014, all IAQG OPMT sanctioned Aerospace Auditor Transition Training (AATT) 9100, 9110, and 9120 classes and examinations shall be temporarily unavailable.</p> <p>Classes and examinations in progress or committed may continue only with the agreement of the IAQG OPMT.</p> <p>No AATT based Training Course may be offered for new student enrolment using the existing training material. Any Training Provider (TP) who has an AATT class that is "in progress or committed" shall advise the IAQG OPMT with relevant information of such training programs so the IAQG OPMT is fully aware of any courses taking place.</p> <p>Should a TP identify a special need for a new AATT based Training Class, then, with the agreement of their SMS, the TP shall submit a request to the IAQG OPMT for a special dispensation to run such a course.</p> <p>For more information about the Training Provider AATT Special Dispensation process, please visit: <a href="http://info.iaqgtraining.com/tp/aatt-suspension">http://info.iaqgtraining.com/tp/aatt-suspension</a> Any questions or feedback shall be addressed using the "<a href="#">Provide AATT Process Feedback to the Training Dev Team</a>" link available on the IAQG AATT platform at the following link : <a href="https://www.iaqgtraining.com/">https://www.iaqgtraining.com/</a></p>	<p>This resolution is effective from March 7, 2014 and shall remain in place until IAQG OPMT approval of revised AATT training material.</p> <p><b>This resolution was withdrawn on November 18, 2014 following resumption of the revised AATT Courses from 1 November 2014</b></p>	N	X	X	X

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115	February 27, 2014	<p><b>9104-003 clauses 5.4, 5.5 and Tables 1 and 2 – Training requirements for AQMS Auditors - Aerospace Auditors (AA) and Aerospace Experienced Auditors (AEA)</b></p> <p>The following requirements for AQMS Auditor Training shall be used to replace the requirements for Foundation and Standard AQMS Auditor training courses defined in 9104-003:</p> <ol style="list-style-type: none"> <li>1) Foundation Course training requirements for AQMS Auditors of grades AA and AEA shall be replaced by the IAQG sanctioned Aerospace Auditor Transition Training (AATT) and is mandatory for all AQMS Auditors.</li> <li>2) All approved Standard Courses shall be updated to include the IAQG sanctioned AATT training course in its entirety in addition to the requirements for ISO 9001 QMS training. The duration of the Standard Auditor Course shall include the required training hours for the IAQG sanctioned AATT training course plus the required hours for the ISO 9001 QMS training.</li> <li>3) The Industry Specific Course shall remain as documented in 9104-003.</li> <li>4) All AQMS auditors are required to complete the IAQG sanctioned AATT training course to be authenticated for the 2009 AQMS Standards</li> <li>5) Training Provider Approval Bodies (TPABs) shall document a process for approval of Training Providers (TPs) and any courses that requires approval per 9104-003. The process shall include some level of on site assessment activity prior to the approval of any training courses.</li> <li>6) TPABs are not required to approve the course content of the IAQG Sanctioned AATT training delivered by approved TPs.</li> <li>7) TPs offering IAQG Sanctioned AATT training shall conform to all of the organizational requirements established in 9104-003 and ensure that their instructors have successfully completed the IAQG sanctioned ASDE “train the trainer” course.</li> <li>8) To align the re-exam requirements of 9104-003 paragraph 6.10.1 and 6.10.2 the following shall apply only to IAQG AATT examinations: <ul style="list-style-type: none"> <li>• A student who fails the written examination shall be allowed two re-examinations (application only) with no specified timeframe for taking either the knowledge or application exams. The re-examination shall be the IAQG sanctioned re-examination. The re-examination shall be taken in the presence of an approved proctor, as described in the training provider’s procedures. The student may choose to retake the full AATT course in lieu of re-examination.</li> <li>• A student who fails the re-examination twice must re-take the full AATT training course including final exams.</li> </ul> </li> </ol>	<p>This resolution replaces the previous IAQG OPMT resolutions numbered 57, 69 and 85 and is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-003 standard.</p> <p><b>Withdrawn June 29, 2015 and replaced by IAQG OPMT Resolution 126</b></p>	N	X	X	X
111	October 7, 2013	<p><b>9104-003 clause 7.6 and 9104-001 clause 10.8 - Recognition of Auditor Authentication</b></p> <p>Where an AQMS auditor authenticated with one AAB applies to another AAB to continue their existing AQMS Auditor Authentication(s) the following shall apply:</p> <ul style="list-style-type: none"> <li>• AQMS auditor authentication with a new AAB shall only take place at re-authentication</li> <li>• The new AAB shall ensure that all 9104-series requirements for re-authentication are fulfilled and before making an authentication decision shall document objective evidence and records that positively confirm the following:</li> </ul>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-003 standard.</p>	N	X	X	X

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		<p>a) Full and complete records of the AQMS auditor authentication(s) including supporting objective evidence and any subsequent re-authentication for the six years prior to the application to the new AAB are available and are obtained from the existing AAB.</p> <p>b) The most recent authentication or re-authentication decision taken by the existing AAB was valid in conformance with the requirements for AQMS Auditor Authentication at the time of the authentication or re-authentication decision.</p> <p>c) The OASIS record of the authenticated AQMS auditor shows current validity (i.e. not suspended, withdrawn or expired) for both the AQMS standard and the grade (i.e. AA or AEA) of authentication for each authentication.</p> <p>d) The application for authentication does not include the re-grade of an AQMS auditor authentication from AA to AEA or include an extension for another AQMS standard.</p> <ul style="list-style-type: none"> <li>Where any of the above items (a to d inclusive) cannot be completely and fully verified as in conformance with requirements for AQMS auditor authentication, any application for authentication or re-authentication shall be treated as a new application for AQMS Auditor Authentication.</li> <li>Following the authentication decision at the new AAB, all AQMS auditor authentications for the applicant at the existing AAB are withdrawn.</li> </ul> <p>AAB's shall implement arrangements to provide for the sharing of the details of the AQMS Auditor authentication with any other approved AAB in the event that an authenticated AQMS Auditor applies to another approved AAB for the continuation of their AQMS auditor authentication.</p>	<p>This resolution was withdrawn on September 29, 2014 and replaced with Resolution 123.</p>				
108	July 01, 2013	<p>The IAQG OPMT has established Supplemental Rule 002 – Rules for 9104-1:2012 Transition, dated July 01, 2013 to provide supplemental rules for all stakeholders to facilitate the transition to the 9104-001:2012 standard.</p> <p>This supplemental rule supports the accelerated implementation of 9104-001:2012 and the October 2011 IAQG Council's approved concepts and timeline for transition to the new standard.</p>	<p>This resolution supersedes resolution number 94 effective from January 25, 2012 and is effective from July 1, 2013 and will be cancelled January 1, 2014.</p> <p>This resolution was withdrawn on August 11, 2016</p>	N	X	X	X

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103	April 25, 2013	<p><b>9104-001, Clause 8.2.e, Clause 8.5(c), Appendix C Section 2 :</b></p> <p>The IAQG OPMT has established an audit duration calculation and certification structure software program titled "Audit Calc" to support conformance to 9104-001. This software program shall be used to support the OASIS functionality described in 9104-001, Clause 8.2.e. Audit records shall be uploaded to OASIS in accordance with 9104-001 8.5(c) and Appendix C Section 2 .</p> <p>As of 31 July 2013, accredited Certification Bodies shall have a process in place to use the 9104-001 Audit Calc software program to support validation of 9104-001 client certification structures and audit duration calculation decisions. The CBs shall generate a 9104-001 audit calc report for each audit activity that has been performed (initial, surveillance, and recertification) and the subsequent report results being uploaded in OASIS. This file shall be uploaded (.pdf format) to the OASIS database as a component of the audit report. Any audits performed with fewer days than the 9104-001 audit calc report shall be investigated and resolved by the CB.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following implementation of the next revision of the 9104-001 standard.</p> <p><b>This resolution was withdrawn on December 20, 2017 and replaced with Resolution 148</b></p>	N	X	X	X
101	December 20, 2012	<p><b>Certification of Combined Management Systems:</b></p> <p>Combined audit: A combined audit is an audit of an organization's management system (s) against 2 or more sets of audit criteria or standards conducted at the same time.</p> <p>Combined and integrated AQMS audits (e.g. 9100, 9110, 9120) are allowed as defined in 9104-1, clause 8.2.3.</p> <p>Certification Bodies shall maintain an AB accredited process that defines their specific process for managing and conducting combined audits. CBs shall not combine the ICOP scheme AQMS audit results with the audit results for other certification schemes in a single audit report. The 9101 report shall be a standalone report and conform to established requirements. A separate report should be generated for the combined standard, if required. The CB shall add time for the additional management system audit activity and, upon request, provide objective evidence that no AQMS audit duration was used for the additional standard(s).</p> <p>On site audit time for the AQMS standard shall not be used to audit any of the other management system requirements. No reduction in the 9104-001 calculated AQMS audit duration is allowed and the associated audit reports must clearly indicate time used for the AQMS portion of the audit.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following incorporation and implementation of the next revision of the 9104-001 standard.</p> <p><b>This resolution was withdrawn on June 27, 2013 with the application of an updated resolution number 104</b></p>	N	X	X	X
99	December 20, 2012	<p><b>9110:2012 Transition:</b></p> <p>All 9110 audits shall be to the 9110:2012 version of the standard no later than 01 January 2014, however CBs may initiate 9110:2012 audits with their clients as soon as any required CB management system changes are implemented and relevant personnel are demonstrated as competent for 9110:2012.</p>	<p>This resolution is effective immediately at the date of publication and shall be withdrawn following the completion of the transition to 9110:2012.</p>	N	X	X	X

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		<p>All 9110 AQMS authenticated auditors (including both AEA and AA), and AB Assessors conducting 9110 witnessed assessments, shall take the IAQG Sanctioned on-line 9110:2012 AATT update training module.</p> <p>9110 AQMS Auditors shall submit their 9110:2012 certificate of completion of IAQG sanctioned update training to their respective AAB as part of the required information in order to successfully re-authenticate at the end of the current three year authentication cycle. AQMS Auditors not submitting this information shall not be re-authenticated for 9110.</p> <p>CBs shall ensure all 9110 AQMS Authenticated Auditors have completed the 9110:2012 AATT update training course as part of the required demonstration of competence before selecting any auditor for the audit of a client's management system to 9110:2012.</p> <p>CBs shall ensure that personnel undertaking certification decisions for 9110:2012 are competent in accordance with 9104-001:2012 sections 6.7.a) and 6.7.b). The CB shall initiate the certification decision process upon completion of the first 9110:2012 audit with each 9110 certificated client.</p> <p>At the next CB office surveillance, ABs shall review the demonstration of competence for auditors and decision makers in relation to 9110:2012, in accordance with 9104-001 requirements.</p>	This resolution was withdrawn on August 11, 2016				
97	November 29, 2012	<p>A 'full' audit as described in Tables 1 and 2 of 9104-003 and section 7 of 9104 shall be interpreted as follows:</p> <p>The audit and associated audit records used as experience or evidence of witnessed audits shall include and demonstrate direct evaluation and acceptable auditing of all clauses of the standard.</p> <p>If any clause is a permissible exclusion (section 7 of ISO 9001 or the AQMS) within the scope of the audit as shown within the audit records, further audit records or witnessed assessment records are required to demonstrate experience of direct evaluation of the full detail of all of the certification standard.</p> <p>For fulfillment of the 4 full audit requirement for audit experience, 2 of the 4 audits presented may exclude section 7.3 (design).</p> <p>Note: A clause is described by the section and the first level of clause e.g. 4.1 or 7.5</p>	<p>This resolution replaces IAQG OPMT Resolution 66 (which was effective from October 15, 2009) and is effective immediately at the date of publication. This resolution shall be withdrawn following incorporation and implementation of the next revision of the 9104-003 standard.</p> <p>This resolution was withdrawn on May 10, 2017 and replaced by Resolution #144.</p>	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
95	June 1, 2012	Clarification for 9104-001 clause 8.5 (a): At the closing meeting, the audit team leader shall, at a minimum, provide the organization with any applicable NCRs and PEARs that are associated with the NCRs, documented in accordance with the 9101 standard.	This resolution is effective from June 1, 2012 and will be cancelled by the IAQG OPMT when updated, superseded or incorporated in the next version of 9104-001. <b>This resolution was withdrawn on February 10, 2016 following incorporation of the requirement within the 9101:2014/E standard.</b>	N	X	X	X
94	June 1, 2012	The IAQG OPMT has established Supplemental Rule 002 – Rules for 9104-1:2012 Transition, dated May 24, 2012 to provide supplemental rules for all stakeholders to facilitate the transition to the 9104-1:2012 standard.  This supplemental rule supports the accelerated implementation of 9104-1:2012 and the October 2011 IAQG Council's approved concepts and timeline for transition to the new standard.	This resolution supersedes resolution number 93 effective from January 25, 2012 and is effective from June 1, 2012 and will be cancelled January 1, 2014.	N	X	X	X
93	January 25, 2012	The OPMT has established Supplemental Rule 002 – Rules for 9104-1:2012 Transition, dated 20 Jan 2012 to provide supplemental rules for all stakeholders to facilitate the transition to the 9104-001:2012 standard.  This supplemental rule supports the accelerated implementation of 9104-001:2012 and the October 2011 IAQG Council's approved concepts and timeline for transition to the new standard.	This is effective from 25 Jan 2012 - To be cancelled 01 July 2013.	N	X	X	X
92	January 25, 2012	The IAQG OPMT has established Supplemental Rule 001 – Rules for 9100/9110/9120:2009 Transition, dated 25 Jan 2012 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2009 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2009.  This supplemental rule supports the January 2012 IAQG Executive Committee's approved concepts and timeline for transition to the 2009 version of AQMS Standards (9100/9110/9120) and shall remain in affect until 01 January 2013.	This resolution supersedes resolution 88 and is effective from 25 Jan 2012 - To be cancelled 01 Jan 2013.	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
90	December 22, 2011	9104-001 Section 8.5 All stakeholders that input information into the OASIS database (e.g.; Contact information, 9101 Audit Summary Results, Scope of Certification) shall do so using the English language.  Note: Other 9101 audit report forms, other forms or corrective actions uploaded to OASIS are not required to be in English.	Effective January 1, 2012 - Resolution to remain in effect until updated or cancelled by the IAQG OPMT  <b>This resolution was withdrawn on December 20, 2017 and replaced with Resolution 148</b>	N	X	X	X
89	December 22, 2011	Clarifications to 9104-002 Section 5.2:  c) The scheduling and coordinating of Supplemental Oversight in advance of assessments being conducted shall also include the SMS ( and where applicable, dependent on SMS arrangements, the National CBMC), which recognized the CB.  e) NCR's raised during the course of Supplemental Oversight of ICOP Participants (excluding certified organizations) by an IAQG Member Company shall be copied to the SMS (and where applicable the National CBMC), which recognized the CB, for information purposes.  g) Where Supplemental Oversight is performed outside of the member's global SMS, then the annual summary report shall also be provided to the other SMS where Supplemental Oversight has been conducted during that year.	Effective January 1, 2012 - Resolution to remain in effect until updated or cancelled after completion Issuance of the next revision of 9104-002  <b>This resolution was withdrawn on October 9, 2016</b>	N	X	X	X
88	November 4, 2011	The OPMT has established Supplemental Rule 001 – Rules for 9100/9110/9120:2009 Transition, dated 04 Nov 2011 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2009 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2009.  This supplemental rule supports the October 2009 IAQG Council's approved concepts and timeline for transition to the 2009 version of AQMS Standards (9100/9110/9120) and shall remain in effect until July 1, 2012.	This resolution supersedes resolution 87 and is effective from Nov 04, 2011 - To be cancelled 01 July 2012.	N	X	X	X
87	June 15, 2011	The OPMT has established Supplemental Rule 001 – Rules for 9100/9110/9120:2009 Transition, dated 03 May 2011 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2009 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2009.  This supplemental rule supports the October 2009 IAQG Council's approved concepts and timeline for transition to the 2009 version of AQMS Standards (9100/9110/9120) and shall remain in effect until July 1, 2012.	This resolution supersedes resolution 81 and is effective from June 27, 2011 - To be cancelled 01 July 2012.	N	X	X	X
86	June 15, 2011	All sectors agree there are no extensions past 3 years. There will be no special recognition of short term certificates. The re-certification process has to be completed prior to expiration date. If not the organization shall start over as an initial certification.  The CBs can approach their ABs and IAQG OPMT together, which are willing to review specific requests for special circumstances for surveillance and recertification. The CB shall provide a detailed plan for bringing the certification in line with 17021 requirements.	This resolution replaces resolution 31 which was effective from February 19, 2006. This resolution is modified to bring the resolution in line with ISO 17021 requirements.	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
			<p>This resolution is effective from September 1, 2011 and shall be cancelled with clarification in next revision of the 9104 or 9104-1 standard.</p> <p><b>Withdrawn December 20, 2012 and replaced with IAQG OPMT Resolution 98.</b></p>				
85	June 15, 2011	<p>Current 9104-003 requirement copied below is proving incompatible with the AATT retake exam process. The process outlined in 9104/3 was designed for a different exam structure. The scheduling and logistical requirements for the AATT exam do not provide an adequate opportunity for trainees to complete the re-examination process.</p> <p>6.10.1 A student who fails the written examination shall be allowed one re-examination within 90 days of the last day of the course. A different examination paper shall be used for the re-examination. The re-examination shall be taken in the presence of an approved proctor, as described in the training provider's procedures.</p> <p>6.10.2 A student who fails the re-examination must take a full training course prior to being eligible to take another examination.</p> <p>To align the re-examination requirements with the AATT course the following change is being implemented by this resolution. These new requirements apply only to the AATT re-examinations.</p> <p>A student who fails the written examination shall be allowed two re-examinations (application only) with no specified timeframe for taking either the knowledge or application exams. The re-examination shall be the IAQG sanctioned re-examination. The re-examination shall be taken in the presence of an approved proctor, as described in the training provider's procedures. The student may choose to retake the full AATT course in lieu of re-examination.</p> <p>6.10.2 A student who fails the re-examination twice must re-take the full AATT training course including final exams</p>	<p>This resolution was made effective on June 15, 2011 and shall remain effective until superseded by the publication of the 9104/3 re-write or the end of the AATT courses whichever occurs first.</p> <p><b>Cancelled and replaced by Resolution 115 dated February 27, 2014</b></p>	<b>N</b>	<b>X</b>	<b>X</b>	<b>X</b>
84	June 15, 2011	<p>9104-003 7.2 The AAB shall have documented processes and procedures in place to perform AQMS auditor authentication in accordance with this standard, addressing the following: applications, consideration of applications by the AAB, notification of AAB's decision, certificates, records, complaints, and confidentiality.</p> <p>The AAB shall have a person(s) with aviation, space, or defense industry knowledge of sufficient depth to support AEA authentication (i.e., auditor evaluation process).</p>	<p>This resolution was made effective from June 15, 2011. AAB will remain in effective until it is updated or withdrawn following publication of the next version of 9104-001.</p> <p><b>Withdrawn June 29, 2015</b></p>	<b>N</b>	<b>X</b>	<b>X</b>	<b>X</b>



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Number	Date	Resolution	Status	AAQG		EAQG	APAQG	
83	June 15, 2011	<p>Transition to ISO/IEC 17021:2011 published 2011/02/01</p> <ul style="list-style-type: none"> <li>In February 2011, IAF and ISO resolved that transition to ISO/IEC 17021:2011 be effective 24 months after publication</li> <li>Based on publication date of February 1, 2011, the deadline for transition will be February 1, 2013</li> <li>IAF Mandatory Documents (MD) shall continue to apply to the ICOP process as defined in the 9104 series of requirements and IAQG OPMT resolutions.</li> </ul> <p>This resolution adopts the joint IAF and ISO position outlined above for all accredited Certification Bodies participating in the ICOP process and their associated AQMS certifications. Any CB not meeting the transition deadline shall have their accreditation for AQMS certification withdrawn by their accrediting AB on February 1, 2013.</p>	<p>Effective February 1, 2011 - Resolution to be updated or canceled after completion of the transition period on February 1, 2013</p> <p>Resolution withdrawn by the IAQG OPMT on June 27, 2013 due to completion of the transition period.</p>	N	X	X	X	
82	February 18, 2011	<p>EN 9104-002:2007 Annexes B to K inclusive have been published with the term '(Normative)' beneath the Annex title. To ensure harmonization with other sectors the term '(Normative)' shall be deleted and the Annexes shall be utilized as described in the main text of EN 9104-002.</p>	<p>This resolution will be effective from publication of EN 9104-002:2007 in February 2007 and will remain in place until superseded by up-issue or re-write of EN 9104-002.</p> <p>This resolution was withdrawn on October 9, 2016</p>	N		X		
81	April 5, 2011	<p>The OPMT has established Supplemental Rule 001 – Rules for 9100/9110/9120:2009 Transition, dated 05 April 2011 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2009 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2009.</p> <p>This supplemental rule supports the October 2009 IAQG Council's approved concepts and timeline for transition to the 2009 version of AQMS Standards (9100/9110/9120) and shall remain in effect until July 1, 2012.</p>	<p>Effective April 5, 2011 - To be canceled 01 July 2012.</p> <p>This resolution was superseded by IAQG OPMT Resolution 87 dated June 27, 2011</p>	N	X	X	X	
80	May 18, 2010	<p>The OPMT has established Supplemental Rule 001 – Rules for 9100/9110/9120:2009 Transition, dated 18 May 2010 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2009 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2009.</p> <p>This supplemental rule supports the October 2009 IAQG Council's approved concepts and timeline for transition to the 2009 version of AQMS Standards (9100/9110/9120) and shall remain in effect until July 1, 2012.</p>	<p>Effective Jan 11, 2011 - To be canceled 01 July 2012.</p>	N	X	X	X	

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
77	October 1, 2010	<p>The 9100 AQMS standard is the cornerstone of the ICOP system. CB's shall be initially accredited to 9100 before seeking accreditation to the other ICOP standards. ABs shall assure that CBs' initial application for accreditation to the ICOP scheme is to 9100, and they have proven effectiveness in certifying clients to 9100 for a period of one year before accepting applications for accreditation to other ICOP standards.</p> <p>CBs who do not have 9100 accreditation today, but have accreditation to 9110 or 9120 must obtain their 9100 accreditation by 1 January 2011, or their existing accreditations will not be recognized by the ICOP scheme.</p>	<p>This resolution is effective from January 1, 2011 and shall be withdrawn following publication of 9104-001.</p> <p>Withdrawn June 29, 2015</p>	N	X	X	X
76	October 1, 2010	<p>Clarification of interpretation of 9104 section 8.2.3 Requirements for auditing for multiple site organizations for 9100 or 9110 Aerospace Quality Management System (AQMS) certifications.</p> <p>The audit of multiple site organizations for a single certificate shall be conducted by auditing each site to the complete and applicable AQMS standard requirements prior to initial certificate issuance.</p> <p>For re-certification audits the CBs audit program shall ensure that each site is audited to the applicable AQMS standard clauses for each site and central office/site.</p> <p>For surveillance audits, the CBs audit program shall ensure that each site is audited at least once during the surveillance audit cycle to the applicable AQMS standard clauses for each site. In addition, the central office/site shall be audited in each year of the surveillance audit cycle.</p> <p>The above surveillance/recertification audit frequency (i.e.; number of planned sites to be audited) can be reduced based on the CBs use of Accreditation Body (AB) approved IAF MD 3: 2008 ASRP processes.</p> <p>All sites must be audited at least once in the three year cycle. If audited once every three years, the recertification audit days shall be used for surveillance of the individual sites.</p>	<p>Resolution 53 was effective 1 November 2008, any new certifications must conform to this resolution.</p> <p>Certifications in effect prior to 1 November 2008 may complete their existing audit program for surveillance and re-certification, but may not exceed the date set for final 9104-001 implementation.</p> <p>All subsequent re-certification cycles shall conform to this resolution.</p> <p>This resolution adds two paragraphs to this resolution 53 and introduces ASRP. The addition is effective January 1, 2011.</p> <p>This resolution will remain effective until it is updated or withdrawn following publication of 9104-001.</p> <p>Withdrawn June 29, 2015</p>	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
75	October 1, 2010	<p>Advanced Surveillance and Recertification Procedures as outlined in IAF MD 3: 2008 ASRP in support of clause 9.1.1 of ISO/IEC 17021:2006 may be used for AQMS certification programs defined in aerospace standard 9104. Certification Bodies (CB) must obtain Accreditation Body (AB) approval prior to using ASRP.</p> <p>In addition to the auditor competence requirements of IAF MD 3 and ISO 19011 (see "Competence and Evaluation of Auditors"), the internal auditors for the organization's centralized Internal Audit function conducting AQMS audits shall meet, at a minimum, the AA requirements of 9104/3 and the internal AQMS audit function shall be led by an individual meeting the requirements of an AEA. Internal auditors and the leader of the internal audit function are not required to be authenticated AAs and AEAs; the intent is for these individuals to meet the training and work experience requirements.</p>	<p>Effective January 1, 2011. Replaces Resolution 51 Resolution to be updated or withdrawn following publication of 9104-001.</p> <p>Withdrawn June 29, 2015</p>	N	X	X	X
74	October 1, 2010	<p>9104 clarification to provide Harmonized Requirements for Initial Accreditation and Surveillance Assessments by ABs <b>Initial accreditation 9100</b></p> <ul style="list-style-type: none"> <li>• Document review (staff qualification, management system and others)</li> <li>• Office Assessment</li> <li>• Witness Audit</li> </ul> <p><b>Initial accreditation 9110- (When in addition to 9100)</b></p> <ul style="list-style-type: none"> <li>• Document review (Auditor qualification)</li> <li>• Witness Audit</li> </ul> <p><b>Initial accreditation 9120 (When in addition to 9100)</b></p> <ul style="list-style-type: none"> <li>• Document review (auditor qualification)</li> </ul> <p><b>Surveillance</b></p> <ul style="list-style-type: none"> <li>• For surveillance at least one annual office and one annual witness assessment, but include each accredited standard at least once in the accreditation cycle during the annual activities.</li> </ul> <p>When the CB is accredited for 9100, the annual witnessed assessment shall be a 9100 audit. When the CB is accredited for both 9100 and 9110, the annual witnessed assessment shall be either a 9100 or 9110 audit such that each is witnessed during the accreditation cycle. When the CB is also accredited for 9120, the AB shall witness one 9120 audit in the accreditation cycle, in addition to the annual required witness assessment.</p>	<p>From Resolution 45 - Effective October 08, 2010 - Resolution to be updated or canceled upon release of 9104-001. Applicants in process thru the end of 2007 may follow the accreditation bodies' current procedures. All accreditations in 2008 and forward shall comply with this resolution. Resolution 74 - Addition of final two paragraphs to be effective from January 1, 2011. Resolution to be updated or withdrawn following publication of 9104-001.</p> <p>Withdrawn June 29, 2015</p>	N	X	X	X

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Number	Date	Resolution	Status	AAQG		EAQG	APAQG	
73	May 18, 2010	<p>The OPMT has established Supplemental Rule 001 – Rules for 9100/9110/9120:2009 Transition, dated 18 May 2010 to provide supplemental rules for all stakeholders to facilitate the transition to the 9100/9110/9120:2009 Aerospace Quality Management Systems (AQMS) standards, including the use of the updated 9101:2009.</p> <p>This supplemental rule supports the October 2009 IAQG Council's approved concepts and timeline for transition to the 2009 version of AQMS Standards (9100/9110/9120) and shall remain in affect until July 1, 2012.</p>	Effective May 18, 2010 - To be canceled 01 July 2012.	N	X	X	X	
70	December 4, 2009	All OASIS entries for certification data (new certificates or revised certificates) by CBs shall include details of any exclusion applicable to the certification from March 1, 2010	<p>Effective December 7, 2009 - To be canceled upon publication of 9104-001</p> <p>Withdrawn June 29, 2015</p>	N	X	X	X	
69	October 15, 2009	<p>Organizations, including CBs, that wish to offer training, including IAQG sanctioned aerospace auditor training, to support the authentication of aerospace auditors for the ICOP process shall conform with all of the requirements for training providers and training courses as defined in 9104/3. These organizations shall collectively be known as Training Providers (TPs) and shall be approved by a Training Provider Approval Body (TPAB) approved by the SMS.</p> <p>TPABs shall document a process for approval of TPs and any courses that require approval in accordance with the requirements of 9104-003. The process shall include some level of on site assessment activity prior to the approval of any training courses.</p> <p>TPABs are not required to approve the course content of the IAQG Sanctioned Training delivered by approved TPs. TPs offering IAQG Sanctioned Training shall conform to all of the organizational requirements established in 9104-003 and ensure that their instructors have successfully completed the IAQG sanctioned "train the trainer" course.</p>	<p>This resolution is effective immediately and will be withdrawn with the publication of the next revision of 9104-003.</p> <p>Cancelled and replaced by Resolution 115 dated February 27, 2014</p>	N	X	X	X	
68	October 15, 2009	The nonconformity definitions (major and minor) contained in AS 9104 shall be used in lieu of the definition contained within AS 9111.	<p>This resolution is effective immediately and will be withdrawn with the publication of the next revision of 9101.</p> <p>Withdrawn June 22, 2012</p>	N	X	X	X	

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Number	Date	Resolution	Status	AAQG		EAQG	APAQG	
67	October 15, 2009	<p>The IAF established timeline for ISO 9001:2008 implementation will be adopted as follows:</p> <p>One year after publication of ISO 9001:2008 all accredited certificates issued (new certifications or re-certifications) shall be to ISO 9001:2008 (2009/11/15)</p> <p>Twenty four months after publication by ISO of ISO 9001:2008 any existing certification issued to ISO 9001:2000 shall not be valid (2010/11/15)</p> <p>Upon CB certification decision that a client has demonstrated conformance with ISO 9001:2008; ICOP accredited certificates will contain statements that will certify the following concept:</p> <p>a. Conformity of the suppliers Quality Management Systems to the requirements of ISO 9001:2008 and / or the applicable specific AQMS 9100/9110/9120 version used (e.g. AS9100 or pr EN 9110)</p> <p>For certifications that include both ISO 9001 and an applicable AQMS standard (9100/9110/9120), and where the supplier does not complete the transition to ISO 9001:2008 within the time line above, the current 9100/9110/9120 certificates shall be withdrawn.</p>	<p>Effective Date: Upon release of ISO 9001:2008 and from October 15, 2009</p> <p>Resolution will expire with the implementation of 9104-001</p> <p>Replaces Resolution 55 Dated October 1, 2008</p> <p><i>Withdrawn June 29, 2015</i></p>	N	X	X	X	
66	October 15, 2009	<p>A 'full' audit as described in Tables 1 and 2 of 9104-3 and section 7 of 9104 shall be interpreted as follows:</p> <p>The audit and associated audit records used as experience or evidence of witnessed audits shall include and demonstrate effective auditing of all clauses and sub-clauses of the standard. If any clause or sub-clause is a permissible exclusion (section 7 of ISO 9001 or the AQMS) within the scope of the audit as shown within the audit records, further audit records or witnessed assessment records are required to demonstrate experience of direct evaluation of the full detail of all clauses and sub-clauses of the certification standard.</p> <p>For fulfillment of the 4 full audit requirement for audit experience, 2 of the 4 audits presented may exclude section 7.3 (design).</p>	<p>This resolution is effective immediately and will be withdrawn with the publication of the next revision of 9104-3.</p> <p><i>Withdrawn November 29, 2012 and replaced with IAQG OPMT Resolution 97.</i></p>	N	X	X	X	
65	October 15, 2009	<p>9104 section 7.4.1.1 and 9104-003 section 3.16 define full time work experience requirements. When considering an auditor applicant's work experience, work as a full time contract employee may be included. In considering if the experience is applicable it shall be confirmed that the contract work was full time for a single organization during a given time period. Working full time as a contractor by completing assignments at many organizations during the same time period shall not be considered as full time experience for the purpose of auditor authentication. In addition, the work activity shall meet the other requirements in 9104 and 9104-003 including the type of organization and the work function.</p>	<p>This resolution is effective immediately and will be withdrawn with the publication of the next revision of 9104-003.</p> <p><i>Withdrawn December 20, 2017 and replaced with IAQG OPMT Resolution 147.</i></p>	N	X	X	X	

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
63	October 15, 2009	The IAQG OPMT recognizes the need for successful transition to the 2009 version of the 9100/9110/9120 Aerospace Quality Management System (AQMS) standards.  Organizations are encouraged to plan transition to the new requirements as early as possible. Until the time of certification to the 2009 version of the applicable AQMS, the audited organization shall demonstrate conformance to the existing certified standard during any CB audit activity.	This resolution is provided to give a forward look to the transition to 9100:2009. This resolution will be removed Dec 31, 2011.  <i>Withdrawn June 22, 2012</i>	N	X	X	X
62	October 15, 2009	Suspension or withdrawal of accreditation for ISO 9001 shall respectively result in the immediate suspension or withdrawal of a CBs accreditation for AQMS certification	This resolution shall be effective from October 6, 2009 and shall remain in place until superseded by 9104-001 re-write.  <i>Withdrawn June 29, 2015</i>	N	X	X	X
60	October 15, 2009	All EAQG SMS authenticated AEAs are required to attend a national or sector Auditor Conference at least once over each three year authentication period.	This resolution is to be effective for all re-authentications from January 1, 2011 and will be effective until superseded by re-write of EN 9104-003.  <i>Resolution withdrawn as a result of the EAQG OPMT Meeting September 15-16, 2010</i>	N		X	
59	October 15, 2009	When undertaking oversight assessment of Training Provider Approval Bodies (TPAB), OP Assessors utilizing the EN 9104-002 Annex H shall be able to use the 13 questions found in the AS 9104/2 Annex H TPAB oversight checklist.	This resolution will be effective from 16 April 2009 and will remain in place until superseded by up-issue or re-write of EN 9104-002.  <i>Withdrawn June 29, 2015 due to publication of 9104-002:2014 and oversight forms through the IAQG Forms Management System</i>	N		X	

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
58	October 15, 2009	<p>Certification Bodies accredited or seeking accreditation as part of the IAQG ICOP process shall actively support national CBMCs and Accreditation Bodies in achieving the required oversight and assessment activities by submitting audit programmes (actual and annual planned dates) for audit of their clients' quarterly (in December, March, June and September each year) for a period covering at least the upcoming 3 months and agreeing the date of office assessments by the end of the previous calendar year.</p> <p>Where a CB does not submit their quarterly audit programmes to both the CBMC and the AB by the end of the due month or does not agree with proposed office assessment dates, a nonconformity shall be issued by the AB. Where the CB does not close the nonconformity within 3 weeks of issue, the AB shall undertake a decision to suspend the AQMS accreditation of the CB.</p> <p>Should the CB not submit their quarterly audit plans by the end of the due month within 12 months of the date of issue of the first nonconformity, a further nonconformity shall be issued and the ABs process for a decision for suspension of AQMS accreditation shall be initiated immediately.</p> <p>Where the required annual programme for oversight and assessment of a CB is not completed by the end of each calendar year, regardless of the reasons, the AB shall undertake a decision to suspend the AQMS accreditation of the CB. Should the required annual programme for oversight and assessment of a CB not be completed twice within an accreditation cycle the AB shall initiate a process to withdraw the AQMS accreditation of the CB.</p>	<p>This resolution is effective from 15 April 2009 with all certification bodies being required to submit their first audit programme and agree office assessment and oversight dates before the end of June 2009. This resolution will remain in place until superseded.</p> <p>Resolution withdrawn as a result of the EAQG OPMT Meeting September 15-16, 2010</p>	N		X	
57	October 15, 2009	<p>The current approved AQMS courses are for the current standards and not for the 2009 version of the standards (9100/9110/9120).</p> <p>For the 2009 version of the standards the IAQG is requiring sanctioned training for all AQMS auditors. This training is not yet available. Once available the following requirements from 9104/3 shall apply:</p> <p>Foundation Course - The IAQG sanctioned training shall replace the Foundation Courses Standard Course - Current standard courses shall be updated to include the IAQG sanctioned training course in its entirety and requirements for ISO QMS training. The duration of the Standard Auditor Course shall include the required training hours for the IAQG sanctioned training course plus the required hours for the ISO QMS training.</p> <p>Industry Specific Course - Will remain as outlined in 9104/3 and the currently approved courses will remain.</p> <p>All auditors currently authenticated as AQMS auditors are required to complete the IAQG sanctioned training course to be authenticated for the 2009 AQMS Standards.</p>	<p>This resolution is effective October 15, 2009 and will be cancelled when the 9104-003 standard is updated.</p> <p>Cancelled and replaced by Resolution 115 dated February 27, 2014</p>	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
56	March 9, 2009	<p>9104 Section 8.4 - For initial audits and re-certification audits, the CB shall upload the audit report (at a minimum this includes the general assessment information, assessment conclusions, general organization information, assessment result summary, assessment scoring, corrective action requests and accepted corrective action responses, and the list of recommendations/observations/comments) and input the assessment result summary and assessment scoring data into the IAQG-OASIS database within thirty (30) calendar days after the certificate issue date.</p> <p>For surveillance and special audits, the CB shall upload the audit report (at a minimum this includes the general assessment information, assessment conclusions, general organization information, assessment result summary, assessment scoring, all corrective action requests and accepted corrective actions responses (as applicable), and the list of recommendations/observations/comments) and input the assessment result summary and assessment scoring data into the IAQG-OASIS database within ninety (90) calendar days after the final visit date.</p> <p>Certification Bodies may "black out" with appropriate methods any references to individuals or information that is deemed confidential on the uploaded documents in order to comply with regional, national, or international privacy provisions/regulations.</p>	<p>Effective March 15, 2009 - Complete compliance required no later than May 1, 2009 - Resolution to be updated or canceled upon release of 9104-001.</p> <p>Withdrawn June 29, 2015</p>	N	X	X	X
55	October 1, 2009	<p>Clarification on 9104 clause: 8.6. which requires certificates to contain statements that certify the following concept:</p> <p>a. Conformity of the suppliers Quality Management System to the requirements of ISO 9001:2000* and/or the applicable specific AQMS 9100/9110/9120* version used (e.g., AS9100 or prEN9110).</p> <p>Upon CB certification decision that a client has demonstrated conformance with ISO 9001:2008; certificates can contain statements that certify the following concept:</p> <p>a. Conformity of the suppliers Quality Management System to the requirements of ISO 9001:2008* and/or the applicable specific AQMS 9100/9110/9120* version used (e.g., AS9100 or prEN9110).</p>	<p>Effective Date: Upon release of ISO 9001:2008</p> <p>Resolution will expire with the implementation of 9104-001</p> <p>Cancelled and replaced by Resolution 67 Dated October 15, 2009</p>	N	X	X	X
54	October 1, 2008	<p>Clarification on the implementation of ISO/IEC 17021.</p> <p>Until 9104 is updated to include reference to ISO/IEC 17021 conformance, any additional requirements included in ISO/IEC 17021 and not in 9104 shall apply for AQMS (9100/9110/9120) certification activity. In addition, IAF MD2 IAF Mandatory Document for the Transfer of Accredited Certification of Management Systems shall apply and IAF MD4 IAF Mandatory Document for the use of Computer Assisted Auditing Techniques ("CAAT") for Accredited Certification of Management Systems shall not be applied.</p>	<p>Effective Date: November 1, 2008</p> <p>Resolution will expire with the implementation of 9104-001</p> <p>Withdrawn June 29, 2015</p>	N	X	X	X



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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
53	September 29, 2009	<p>Clarification of interpretation of 9104 section 8.2.3 Requirements for auditing for multiple site organizations for 9100 or 9110 Aerospace Quality Management System (AQMS) certifications.</p> <p>The audit of multiple site organizations for a single certificate shall be conducted by auditing each site to the complete and applicable AQMS standard requirements prior to initial certificate issuance. For re-certification audits the CBs audit program shall ensure that each site is audited to the applicable AQMS standard clauses for each site and central office/site.</p> <p>For surveillance audits, the CBs audit program shall ensure that each site is audited at least once during the surveillance audit cycle to the applicable AQMS standard clauses for each site. In addition, the central office/site shall be audited in each year of the surveillance audit cycle.</p>	<p>Effective 1 November 2008, any new certifications must conform to this resolution.</p> <p>Certifications in effect prior to 1 November 2008 may complete their existing audit program for surveillance and re-certification, but may not exceed the date set for final 9104-001 implementation.</p> <p>All subsequent re-certification cycles shall conform to this resolution.</p> <p>Resolution will expire with the implementation of 9104-001.</p> <p><b>This resolution was withdrawn and replaced by resolution 76 dated October 1, 2010.</b></p>	N	X	X	X
52	April 7, 2008	Allow for the piloting of the new 9101 Rev D audit standard. While the auditor(s) will utilize the new Rev D process the final results of the audit will be documented on the 9101 Rev C checklist for up to 6 pilot audits. These audits will be valid for AQMS audits by certification bodies.	<p>Resolution will expire December 1, 2008</p> <p><b>Withdrawn June 22, 2012</b></p>	N	X	X	X
51	April 7, 2008	Advanced Surveillance and Recertification Procedures as outlined in IAF MD 3: 2008 ASRP in support of clause 9.1.1 of ISO/IEC 17021:2006 shall not be used for AQMS certification programs defined in aerospace standard 9104 (published as AS9104, EN9104, etc.)	<p>Effective June 15, 2008 - Resolution to be updated or canceled upon release of 9104-001.</p> <p><b>Resolution withdrawn and replaced by resolution 75 October 1, 2010.</b></p>	N	X	X	X
50	April 7, 2008	Prior to conducting aerospace audits, Certification Bodies (CBs) shall ensure that classified material or export control requirements (i.e., Export Administration Regulations (EAR), (NOS), related to CB auditor access, are discussed with their aerospace clients and included in audit planning activities. The scope of certification shall not include processes that were not audited to sufficient depth to verify client conformance	<p>Effective June 15, 2008 - Resolution to be updated or canceled upon release of 9104-001.</p> <p><b>Withdrawn June 29, 2015</b></p>	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
49	April 7, 2008	A Certification Body voluntarily or involuntarily withdrawn from the scheme, in accordance with resolution number 46, may not apply for accreditation to the scheme for a period of twelve months from the date of withdrawal.	Effective June 15, 2008 - Resolution to be updated or canceled upon release of 9104-001.  Withdrawn June 29, 2015	N	X	X	X
48	October 9, 2007	When deemed appropriate by an Accreditation Body (AB), SMS representative, and/or Certification Body (CB) they may share the results of Aerospace Witness Audits and data associated with an Aerospace Auditor competency issue with the Auditor Authentication Body (AAB) responsible for the subject auditor's aerospace authentication.	Effective October 9, 2007 Resolution to be updated or canceled upon release of 9104-001.  Withdrawn June 29, 2015	N	X	X	X
47	October 9, 2007	For AQMS certification bodies that are suspended but not withdrawn/expired from the ICOP process the following requirements shall be met. <ul style="list-style-type: none"> <li>The suspended certification body shall continue to perform required surveillance and re-certification audits.</li> <li>The suspended certification body shall not issue any new certifications. Re-certifications may be issued by the suspended certification body with approval by the CBMC and/or AB.</li> <li>The suspended certification body shall notify all of its AQMS clients of its suspended status within one month of suspension.</li> <li>Certification body suspensions that exceed six months in duration shall be reviewed by the SMS.</li> </ul>	Effective October 9, 2007 Resolution to be updated or canceled upon release of 9104-001.  Withdrawn June 29, 2015	N	X	X	X
46	October 9, 2007	Where the accreditation of a AQMS (9100, 9110, 9120) Certification Body is withdrawn/expired, current certificates issued by the concerned Certification Body (certification of QM Systems in accordance with the 9100 Series) will be eligible for transfer for a maximum of six (6) months after the withdrawal/expiration date or until client certificate expiration, whichever is less.  Note: See Annex 4 to IAF Guidance for Guide 62 on transfer of certifications.  Scheduled surveillance and re-certification audits initiated after the withdrawal date shall be conducted by an accredited Certification Body. Audits started by withdrawn/expired certification body after withdrawn/expiration date shall not be valid for transfer considerations. Audits started on site by withdrawn/expired certification body before withdrawn/expiration date can be used in transfer considerations and these audits must be entered into OASIS by the CB that the certificate is transferred to.  The certification body withdrawn/expired from the ICOP process is responsible for withdrawing certifications in OASIS and showing status as "certification body withdrawn/expired". In addition, the certification body withdrawn/expired from the ICOP process is responsible for notifying AQMS clients within one month of withdrawal/expiration of the CB's accreditation that its accreditation status has been withdrawn/expired. This responsibility will transfer to the AB if not fulfilled by the CB. The SMS shall ensure certificates in OASIS are shown as "certification body withdrawn/expired".	Effective October 9, 2007 Resolution to be updated or canceled upon release of 9104-001.  Withdrawn June 29, 2015	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
44	October 8, 2007	9104 - Transition to ISO/IEC 17021:2006 published September 15, 2006 <ul style="list-style-type: none"> <li>In November 2006, IAF resolved that transition to ISO/IEC 17021:2006 be effective 24 months after publication</li> <li>Based on publication date of 2006/09/15, deadline will be September 15, 2008</li> <li>Annexes to IAF GD2 and IAF GD6 should continue to be applied until superseded</li> </ul> This resolution adopts the IAF position outlined above for all AQMS certifications through the ICOP process.	Effective October 8, 2007 - Resolution to be updated or canceled upon release of 9104-1 and release of new guidance material  Withdrawn June 29,2015	N	X	X	X
43	May 5, 2007	Currently only 23% of certified organizations have identified an OASIS administrator. To improve this situation the OPMT is formally requiring all certification bodies to ensure that certified organizations forward a request establishing the organization's OASIS administrator to the OASIS administrator at SAE at the time of initial certification. In addition, certification bodies shall verify that the certified organization's current OASIS Administrator is the one identified in the OASIS database at all surveillance and recertification audits.	Effective July 1, 2007 Resolution will be canceled upon release of 9104-001  Withdrawn June 29,2015	N	X	X	X
42	May 5, 2007	With the publication of 9104-002 and 9104-003 there are some conflicts with 9104. Until 9104 is updated to 9104-001 any conflicts will be resolved by giving the requirements of 9104-002 and 9104-003 precedence over 9104.	Effective April 30, 2007 Resolution will be canceled upon release of 9104-001  Withdrawn June 29,2015	N	X	X	X
41	May 5, 2007	Addition to 9104 Section 8.1 Aerospace Assessment Teams: 8.1.6 Customer Validation - Prior to an audit (initial/surveillance/recertification) the audit team leader shall obtain information from the organization regarding all aerospace customers and other customers requiring 9100/9110/9120 compliance and the percentage of aerospace business each represents. The audit team shall use this data to verify that the organization is addressing customer satisfaction appropriately with all customers. This includes verifying that any customer specific requirements are addressed and that the % of audit time spent on any one customer is commensurate with the % of aerospace business each customer represents, e.g. Customer X may only have 20% of the business so do not spend 80% of the time verifying Customer X's needs if Customer Y has 80% of the business.	This resolution is to document OPMT recommendations to the Requirements Writing Team for consideration into the next revision of the applicable standard.  Withdrawn June 22, 2012	N	X	X	X
40	May 5, 2007	Addition to 9101/9111/9121 Section 8.2.1 - Customer Satisfaction 05 - Is customer satisfaction based on customer provided product non-conformance data and corrective action requests 06 - Do the organization's plans for improvement address product non-conformances and process non-conformances Both 05 and 06 shall be identified as Key Requirements (M)	This resolution is to document OPMT recommendations to the Requirements Writing Team for consideration into the next revision of the applicable standard.  Withdrawn June 22, 2012	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
39	May 5, 2007	<p>Addition to 9100/9110/9120 Section 8.2.1 - Customer Satisfaction</p> <ul style="list-style-type: none"> <li>Evaluation of customer satisfaction shall include customer provided product non-conformance data and corrective action requests</li> <li>Organizations shall develop formal plans for improvement that address product non-conformances and process non-conformances</li> </ul>	<p>This resolution is to document OPMT recommendations to the Requirements Writing Team for consideration into the next revision of the applicable standard.</p> <p><i>Withdrawn June 22, 2012</i></p>	N	X	X	X
38	May 5, 2007	<p><b>Addition to 9104 section 14 - Responsibilities</b></p> <p>14.5 Feedback Responsibilities</p> <p>Organizations utilizing ICOP certifications shall provide feedback, as appropriate, regarding apparent weaknesses with a supplier organization's certified quality management system (QMS). Three feedback loops have been identified as essential for the ongoing support of the ICOP process. These feedback loops are identified in the attached chart (ICOP Feedback Process). Organizations utilizing ICOP certifications shall use the OASIS provided feedback channels for feedback loops B and C.</p>	<p>Resolution is to initially give guidance to certification bodies and registered/certified organizations on upcoming change to 9104. Until published in the next revision of 9104 this resolution shall be treated as guidance. Resolution to be incorporated into next revision of 9104. The OASIS provided feedback channels should be available in May '07.</p> <p><i>Withdrawn June 29, 2015</i></p>	N	X	X	X
37	May 5, 2007	<p>Addition to 9100/9110/9120 section 7.4.1 - Purchasing Process f) requirements for the use of ICOP certifications in an organization's supplier control process.</p> <ul style="list-style-type: none"> <li>Organizations' supplier control process shall not be limited to ICOP certification. NOTE: ICOP certification is intended to be one component of an organization's overall supplier control program and does not supersede or negate supplier control requirements delineated in AS9100/9110/9120 section 7.4 and/or other customer imposed requirements.</li> <li>For POA/PAH organizations the integration of ICOP shall be defined in the organization's Authority approved procedures.</li> </ul>	<p>This resolution is to document OPMT recommendations to the Requirements Writing Team for consideration into the next revision of the applicable standard.</p> <p><i>Withdrawn June 22, 2012</i></p>	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
36	May 5, 2007	<p>Clarification of interpretation of 9104 section 8.2.3 Requirements for eligibility and auditing of multi-site organizations for Aerospace Quality Management System (AQMS) certifications.</p> <ul style="list-style-type: none"> <li>For AQMS certifications of multi-site organizations to 9120, sampling of multiple sites shall comply with the guidance provided in the IAF Guidance on the Application of ISO/IEC Guide 62 and shall be limited to sites located in the same country.</li> <li>For the audit surveillance frequency of AQMS certifications of multi-site organizations to 9100 and 9110 the following requirements apply:</li> <li>For organizations with more than one site, that do not fulfill the eligibility criteria for sampling described in IAF Guidance on the Application of ISO/IEC Guide 62 Annex 3, no sampling or reduced surveillance is allowed.</li> <li>For organizations with more than one site, that do fulfill the eligibility criteria for sampling as described in IAF Guidance on the Application of ISO/IEC Guide 62 Annex 3, sampling is not allowed but reduced surveillance meeting the following criteria is allowed.</li> <li>The certification/visitation approach for multi-site organizations shall ensure the following:                             <ul style="list-style-type: none"> <li><b>Initial Certification:</b> <ul style="list-style-type: none"> <li>The central function and all sites shall be audited to the complete and applicable AQMS requirements at the initial audit prior to the certification decision and certificate issuance.</li> </ul> </li> <li><b>Surveillance</b> <ul style="list-style-type: none"> <li>The central function and approximately 1/2 of the remote sites shall be audited during the first year of the three year certification cycle.</li> <li>The central function and all sites not audited in the first year shall be audited during the second year of the three year certification cycle.</li> <li>If surveillance audit frequency is other than annual (i.e. every 6 months) the surveillance plan shall ensure that each site is audited to the complete and applicable AQMS standard at least once during surveillance in the first two years of the certificate validity.</li> <li>Some multi-site organizations may have individual sites engaged in a subset of the overall processes of the total organization and the assessment for a specific site can be limited to the processes performed at each site.</li> </ul> </li> <li><b>Recertification</b> <ul style="list-style-type: none"> <li>For the re-certification audit, the central function and all sites shall be audited in the third year of the three year certification cycle.</li> <li>For the re-certification decision the results of all the audits of the central function and all sites shall be taken into consideration.</li> </ul> </li> </ul> </li> </ul>	<p>Resolution to be fully implemented by all AQMS certification bodies no later than July 1, 2008. Resolution can be cancelled once 9104 is updated to clarify interpretation of section 8.2.3. This resolution is cancelled at the IAQG Level but will remain in effect for the APAQG, requirements for multi-site certifications will be clarified in the publication of 9104-1.</p> <p>Withdrawn June 29, 2015</p>	N			X
35	October 6, 2006	<p>9101C, Page 33 7.5.1 #56 "P" should be in the second bullet, not the first. 7.5.1 #57 "P" should be in bullets 'h)' and 'l)', not "g)" and "h)" as shown. Problem: 9101C (page 5) has the following statement – "Page 11 (required when nonconformities are identified during the assessment) Corrective Action Request Resolution: "Page 11 [this form, or an equivalent form containing all specified fields] (required when nonconformities are identified during the assessment) Corrective Action Request</p>	<p>Resolution can be cancelled with clarification in next revision of 9101 standard.</p> <p>Withdrawn June 22, 2012</p>	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
33	April 5, 2006	When calculating audit days for recertification 9104 Table 2 values for initial assessment shall be used.	Resolution can be cancelled with clarification in next revision of 9104 standard.  <i>Withdrawn June 29, 2015</i>	N	X	X	X
32	April 5, 2006	The IAQG OPMT supports the implementation of the "IAF-Cross Frontier-Policy" by IAQG recognized ABs as an appropriate tool for the surveillance of Aerospace accredited certification bodies under 9104.	Resolution can be cancelled with clarification in next revision of 9104 standard.  <i>Withdrawn June 29, 2015</i>	N	X	X	X
31	February 19, 2006	All sectors agree there are no extensions past 3 years. There will be no special recognition of short term certificates. IAQG OPMT is willing to review specific requests for special circumstances to consider extensions. New certificates can be issued after recertification process is completed if recertification audit is completed prior to expiration date. If recertification process is not started prior to expiration date the supplier must start the certification process as if not previously certified.	Resolution can be cancelled with clarification in next revision of 9104 standard. <b>This resolution was superseded by IAQG OPMT Resolution 86 on June 15, 2011.</b>	N	X	X	X
30	February 19, 2006	After receiving a question from RABQSA it was determined there is a typographical error in 9104 Paragraph 7.4.1.2. The listed CAA requirements to be covered in the competency course are listed as JAR/FAR 145/136 (EASA IR M). The correct requirements should be: <ul style="list-style-type: none"> <li>- FAR Part 145 - REPAIR STATIONS</li> <li>- FAR Part 147 - AVIATION MAINTENANCE TECHNICIAN SCHOOLS</li> <li>- EASA Part 145 - Maintenance Organizations Approvals</li> <li>- EASA Part 147 - Training Organization Requirements</li> <li>- JAR 145 Approved Maintenance Organizations</li> <li>- JAR 147 Approved Maintenance Training/Examinations</li> </ul> This resolution formally communicates this correction to all users of the 9104 standard until the standard is republished	This resolution will be cancelled when the 9104 standard is updated with the correct requirements.  <i>Withdrawn June 29, 2015</i>	N	X	X	X
29	October 04, 2005	The IAQG OPMT agreed that non-aerospace companies can apply for and be certified to 9100/9110/9120 within the scope of the standard.	Published for clarification going forward. Resolution to be closed upon update to 9104:2006 with clarification of eligibility for 9100/9110/9120 certification.  <i>Withdrawn June 29, 2015</i>	N	X	X	X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
28	October 04, 2005	From Jan 2006 all certificates must include the following sentence: "The certification has been performed in accordance with the requirements of AS or EN or SJAC 9104 revision XX". (CB shall use the appropriate standard to which they are accredited).	In place until 9104 is updated.  Withdrawn June 29, 2015	N	X	X	X
27	January 31, 2006	ANAB and RABQSA requested clarification of requirements for witness auditors and competency training for AS9110. 1) AIR5359 Section 5.3/AS9104 Section 5.4 - Accreditation bodies may complete witness audits of CRBs using an AS9110 AEA's or using an AS9100 AEA and an industry expert to cover specific experience requirements for AS9110 AEA's. The AAQG RMC will assist the accreditation bodies in evaluating industry expert experience as requested. 2) AS9110 Industry experts shall meet the requirements of aerospace experience as defined in AS9104 section 7.4.1.1. 3) AIR5359 Section A.3 c/AS9104 Section 7.4.1.1 - AS9104 includes specific requirements for experience/training for AS9110 AEA's, AIR5359 includes specific experience/training requirements for AS9110 AEA's that are less than AS9104 therefore all auditor experience/training requirements are defined by AS9104. AS9104 Section 7.4.1.1 requires 4 years of full time experience in aerospace industry and 2 years full time in the last 4 in Repair/Overhaul or training in Repair/Overhaul.. The RMC interprets this to mean that unless an AEA candidate can substantiate 2 years full time in the last 4 years experience in Repair/Overhaul, the candidate must take the full competency course as described in AS9104 Section 7.4.1.2. 4) AIR5359 Section 7.2.3/AS9104 Section 7.2.2.1, 7.3.2.1, and 7.4.1.2/AIR5493 Section 4.4.5 - AS9104 requires the competency course and training organization to be approved by the SMS. Currently AIR5359 does not include the requirement for SMS approval of the training providers; therefore the requirements of AS9104 take precedence. Because AIR5359 does not describe the training course provider approval requirement the RMC has not yet developed a process for this approval. Until this process is formally defined in a SMS document the RMC auditor review team will review all competency courses. The RMC shall require an instructor with appropriate industry experience per AS9104 7.4.1.1 or lacking the experience, include an industry expert to be part of the training team for all competency courses . Approval of the training organizations shall be the responsibility of the auditor authentication bodies recognized in our sector. (RABQSA, SCC or INMETRO). 5) AIR5359 Section 7.2.3/AS9104 Section 7.4.1.3- Given the current situation that there are no approved AS9110 AEA's in the Americas sector witness auditor requirements may be met by utilizing an 9110 AEA approved by another IAQG sector or by using an AS9100 AEA who has successfully taken the AS9110 tailored course and an industry expert for the witness audits 6) AS9104 Section 8.1.1 requires the lead auditor to be an AEA for the applicable AQMS. Given that we have a limited number of approved AS9110 AEA in the Americas the RMC is providing 3 options for CRBs in meeting the lead auditor requirement. <ul style="list-style-type: none"> <li>• CRBs may use an approved AS9110 AEA.</li> <li>• CRBs may use an approved 9110 AEA from another sector .</li> <li>• CRBs may use an AS9100 AEA who has successfully taken the AS9110 tailored course and an industry expert.</li> </ul>	Sunset of this resolution is 06/30/2007. This is based on clarification of requirements and the RMC willingness to kick start the 9110 auditor process  Withdrawn June 22, 2012	N	X		

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Number	Date	Resolution	Status	AAQG		EAQG	APAQG	
		7) If an AS9110 AA is being witnessed audited the AA may assume the role of lead auditor for the purpose of the witness audit provided that the lead auditor of record meets the requirements of item 6 of this resolution and the lead auditor is supervising the AA's role as lead auditor.						
26	June 8, 2005	Effective June 10, 2005, when an AAQG Member company is unable to support or find a replacement to support a scheduled RMC oversight activity they will have the responsibility to lead and conduct an independent (no AB) assessment. The oversight assessment (CRB Office or Witness) will be conducted in accordance with RMC 102 Section 5.0 and should only be conducted by RMC 102 qualified AAQG Member assessor.	June 8, 2005 - initiated Rev 5 of 102 to capture and be presented 9/14 am	N	X			
25	June 12, 2005	AAQG member companies (OEMs) can opt to leave the number of non conformances and score blank for their facilities only in the IAQG data base and still receive full registration by the system CRBs. This exemption is not applicable to the OEMs suppliers. NB - this resolution is/was in conflict with 9104 released after the resolution	Withdrawn September 13, 2005	N	X			
24	February 20, 2002	Problem: AIR5493 is not a requirement of AS9100, so under what conditions are the requirements of AIR5493, specifically items 4.4.3 and 4.4.1 imposed on a company and expected to be confirmed by a registrar? Resolution: If an OEM is going to allow the results of their audits to be used by other OEMs or Suppliers in accordance with section 10 of AIR5359, then the requirements of AIR5359 (Sections 7 and 8) and AIR5493 (including specifically 4.4.3 and 4.4.1) apply and these requirements shall be incorporated into the OEMs operating procedures and apply to any auditor doing the shared audits. Under these conditions, these requirements will be a part of the 9100 systems approval.	Withdrawn September 13, 2005	N	X			
23	February 11, 2002	Problem: When a registrar is approved by the RMC as recommended for approval by one RMC approved accreditation body (AB), does the RMC need to re-review this registrar for approval by another RMC approved AB? Resolution: refer to AS9104 - AB to provide CRB information to RMC for consideration	Revised February 14, 2005, Withdrawn 9 September 14, 2005	N	X			
22	January 15, 2002	Problem: During the development of SAE AIR5493, the final requirement included a requirement for the trainer to have 4 of 10 years of aerospace industry experience. Some trainers approved prior to publication of SAE AIR5493 did not meet the requirement. Resolution: This requirement may not be necessary for the general training specified in SAE AIR5359 6.2 a., but is required for the in-depth aerospace industry competency training required in SAE AIR5359 7.2.3.1. Trainers without 4 of most recent 10 years aerospace industry experience may be approved by the AAQG-RMC as submitted through the accreditation body.	Withdrawn September 13, 2005	N	X			
21	January 15, 2002	Problem: Registrar auditors requesting approval when training is self-study of approved training materials. Resolution: Self-study is not acceptable training for approval of a registrar auditor. Acceptable training per SAE AIR5359 and SAE AIR5493 requires that training be conducted by approved trainers with active participation by trainees, and with an examination.	March 23, 2005- To be withdrawn upon release of International Auditor Training document  Withdrawn June 22, 2012	N	X			



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Number	Date	Resolution	Status	AAQG		EAQG	APAQG	
20	January 15, 2002	Problem: What is required for an approved AS9000 AEA to be approved as AS9100 AEA? Resolution: Consistent with SAE AIR5359 7.2.2, an approved AS9000 AEA shall satisfy requirements for approval as an AS9100 AEA by satisfactorily completing AS9100 training requirements in SAE AIR5359 6.2 a	Withdrawn March 22, 2005	N	X			
19	January 15, 2002	Problem: SAE AIR5359 items 8.4 and Appendix D requires registrars to submit audit reports and registration certificate information to SAE, and 9.8 requires registrars to notify SAE of organizations losing registration certificates. SAE has not yet been designated by IAQG as the database repository and is not accepting this information from registrars. Resolution: Registrars shall maintain this information for each registration client and be ready to submit it to the database repository when informed by IAQG , AAQG or their accreditation body	Withdrawn March 22, 2005	N	X			
18	September 10, 2001	Problem: SAE AS9100 Revision A, Structure and Application, third paragraph, states: Organizations initially developing an ISO 9001/AS9100-based QMS after December 15, 2000 must develop a QMS based on ISO 9001: 2000 and shall utilize the section of this standard that is based on ISO 9000: 2000. Resolution: Any organization has until 15 December 2003 to conform with ISO 9001: 2000 and Section 1 of AS9100: 2001.	Withdrawn March 22, 2005	N	X			
17	January 12, 2002	Problem: Auditor requirements for AS9000 or AS9100 surveillance audits. Resolution: At least one audit team member for any AS9000 or AS9100 audit, including surveillance audits, must be an approved AEA.	Withdrawn March 22, 2005	N	X			
16	September 10, 2001	Problem: Auditor requirements for AS9000 or AS9100 surveillance audits. Resolution: An AS9000 surveillance audit can be conducted by an AS9000 auditor that has participated on at least 2 audits (surveillance or full system). An AS9100 surveillance audit can be conducted by an AS9100 Auditor that has participated on at least 2 audits (surveillance or full system). It is not necessary to use an AEA on surveillance, although it is permissible. Note: Withdrawn by AAQG-RMC to stay consistent with IAQG OT.	Withdrawn January 15, 2002	N	X			
15	September 21, 2001	Problem: AS 9100A standard is issued - the new checklist has not been issued at this time. Resolution: The use of the existing checklist (AS9101) is acceptable for use with Section 1 AS9100A audits, any mismatches between Section 1 of the AS9100 Standard and the AS9101 checklist should be noted as N/A on the checklist. This should not stop registration.	Withdrawn March 22, 2005	N	X			
14	April 5, 2005	Problem: Rules on participation of Industry rep in the accreditation	Resolutions moved and incorporated in IAQG Resolutions Log June 28, 2005.	N		X		
13	April 5, 2005	Problem: Rules on accreditations on CRB:s	Resolutions moved and incorporated in IAQG Resolutions Log June 28, 2005.	N		X		

# IAQG OPMT ICOP Resolutions Log

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
12	February 16, 2005	<p>Problem: "Incompatibility rules" needs to be regulated</p> <p>Resolution: Establish next "incompatibility rules":</p> <ul style="list-style-type: none"> <li>• Employees of CRB and/or NAB (management and/or auditor staff) shall not be EU OPMT voting member</li> <li>• Employees of CRB and/or NAB (management and/or auditor staff) shall not be an assessor</li> <li>• Employees of an NAB shall not be employed by a CRB*</li> <li>• Employees of CRB auditor (certification) shall not be audited company staff*</li> <li>• EU OPMT voting member shall not be assessors of EU national schemes**</li> </ul> <p>* Covered by Guide 62 ** a. EU OPMT members can participate as adviser b. Final decision about Oversight team composition reminds in EU OPMT</p>	<p>Incorporate in 91xx (replacing 24653)</p> <p>Fix a transitory period of 3 months for making all necessary membership re-arrangements and new nominations DD: May 16th 2005 R: EU OPMT</p>	N		X	
11	February 16, 2005	<p>Problem: National schemes to be based on common rules within the EU sector.</p> <p>Resolution: Decide to adopt 9104 (and cancel AECMA QC 24281), in English and National language together, as unique standard for all National schemes, allowing exclusively some clarifications (no requirements changes) following UK scheme model</p>	<p>1. Inform to ASD QC of cancellation of 24281 DD: Sept 1st - R: HL</p> <p>2. Fix June 1st as publication date and September 1st as affectivity date of new set of national standards (final aim is to adopt 9104 without additions) DD: Jun 1st &amp; Sept 1st as specified - R: National scheme leaders</p>	N		X	
9	February 16, 2005	<p>Problem: Lack of detail requirements on assessor's training and qualification</p>	<p>Resolutions moved and incorporated in IAQG Resolutions Log June 28, 2005.</p>	N		X	
8	February 16, 2005	<p>Problem: Voting rules needs to be carified.</p> <p>Resolution: Voting rules (when unanimity is impossible):</p> <ul style="list-style-type: none"> <li>• Simple majority</li> <li>• 2/3 of voting members are present</li> </ul>	<p>Incorporate in 91xx (replacing 24653)</p> <p>1. Fix a transitory period of 3 months for making all necessary membership re-arrangements DD: May 16th - R: Affected people</p> <p>2. Nominations of new EU OPMT voting and non voting members DD: May 17th - R: Involved parties</p>	N		X	

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
7	February 16, 2005	<p>Problem: Stakeholders participation in the EU OPMT to be clarified</p> <p>Resolution: EU OPMT non voting members</p> <p>a. Industry people involved in NAB and CRB activities (min 1 &amp; max 3)</p> <p>b. EA (1 rep)</p> <p>c. CRB's Associations (1 rep)</p> <p>Member should represent associations that fulfil the following criteria:</p> <ul style="list-style-type: none"> <li>- Have at least 2 CRB's accredited for the aerospace scheme (OPS)</li> <li>- Have CRB's accredited at least in 2 EU Other Party National Schemes</li> </ul> <p>d. Supplier Associations (1 rep)</p> <p>Member should represent associations that fulfil the following criteria:</p> <ul style="list-style-type: none"> <li>- Have members involved in at least 2 type of QMS certifications</li> <li>- Have members settled in at least 5 European countries</li> <li>- Have members must supply at least to 10 EAQG members</li> <li>- Have more than 20 members</li> </ul>	<p>Incorporate in 91xx (replacing 24653) - in an EU Annex (only an EU possision)</p> <p>1. Fix a transitory period of 3 months for making all necessary membership re-arrangements DD: May 16th - R: Affected people</p> <p>2. Nominations of new EU OPMT voting and non voting members DD: May 17th - R: Involved parties</p>	N		X	
5	August 7, 2002	<p>1. SJAC9011 para 5.4.1 instructs that the instructor shall have Aerospace Experience as per SJAC9010 para 7.2.1.2. SJAC9010 para 7.2.1 requires 4 years of Aerospace work experience in the last 10 years. Based on above requirement, the person who retired Aerospace Industry more than 6 years ago does not have the competency of the instructor? And the person who is the instructor for Aerospace Auditor course will lose the competency of the instructor if it passes more than 6 years after the retirement from Aerospace Industry?</p>	<p>SJAC9010/9011 do not allow that the person who retired Aerospace Industry more than 6 years ago can obtain the competency of the instructor and also, the person who is the instructor for Aerospace Auditor course can keep the competency of the instructor When it passes more than 6 years after the retirement from Aerospace Industry. However, SJAC9010 does not have clear criteria for the instructor competency and IAQG P-104 also does not have JAQG / JRMC will confirm the current situation in other sector and clarify the interpretation of this requirement.</p> <p>Cancellation requested by AP (Nov. 23, 2010)</p>	N			X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
4	September 15, 2001	SJAC9010 para 7.2.1 instructs that Aerospace Experienced Auditor shall have 4 years of Aerospace work experience in the last 10 years. This experience shall include direct Involvement or knowledge of Quality System elements as described in para7.2.2.Does this requirement require work Experience at Quality Assurance Department/section substantially?	SJAC9010 para 7.2.1 instructs that Aerospace Experienced Auditor shall have 4 years of Aerospace work Experience in the last 10 years, which is directly involved in Engineering, Manufacturing, Quality or process Control for major airframe, equipment Supplier, JDA, JCAB, NASDA with direct Involvement or knowledge of the elements As defined in SJAC9010 para 7.2.2. however, it is difficult to obtain enough experience or knowledge as shown above if Auditor does not have work experience at Quality Assurance department / section. JAQG/JRMC will require the candidate auditor who does not have work experience at Quality Assurance to submit the evidence to show her/his knowledge concerning SJAC9010 para 7.2.2.(Ref: See evaluation flow chart(JRMC 01-004)	N			X
3	August 10, 2001	JRCA-A1301 para 4.1 (c) requires that Audit experience shall be limited for the experience after registration as ISO9001Auditor. SJAC9010 does not instruct about this requirement. What is the reason of this additional requirement?	SJAC9010 does not instruct about this requirement and this is additional requirement by JRCA. JAQG/JRMC recognizes that this requirement is more strict/additional requirement to SJAC9010 without any conflict to keep high skill level of Auditor.	N			X

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Number	Date	Resolution	Status		AAQG	EAQG	APAQG
2	August 10, 2001	JRCA-A1301 para 4.1 (c) requires that Audit experience shall be limited for "3rd party" audit experience. SJAC9010 does not instruct about this requirement. What is the reason of this additional Requirement?	SJAC9010 does not instruct about this requirement. However SJAC9010 para 7.1a instructs that auditor shall have ability to cover all the elements of ISO9001 as determined by registrar's Audit Program Manager or equivalent JAQG/JRMC decided that Audit experience shall be limited for "3rd party" audit experience in Japan to meet the above requirements.	N			X
1	July 26, 2001	Can the instructor who conducted JISQ9100 training course promoted by SJAC obtain Authentication of Aerospace/Aerospace Experienced Auditor?	NO.SJAC9011 para 5.4.1 instructs that the Instructor shall have teaching,/training Experience, ISO9001 recognized Lead Auditor approval and Aerospace Experience as per SJAC9010. But, not require the registration of Aerospace Experienced Auditor. And also, SJAC9010/9011 does not allow that the person who conducts Training as the instructor obtains the Authentication of Aerospace Experienced Auditor automatically.  Cancellation requested by AP (Nov. 30, 2010)	N			X

End of section for Withdrawn Resolutions

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