

Contents

Preface	xv
List of Acronyms	xvii
Chapter 1 Introduction	1
1.1 Hyperspectral Remote Sensing	2
1.2 Elements of Hyperspectral Sensing	7
1.2.1 Material spectroscopy	8
1.2.2 Radiative transfer	9
1.2.3 Imaging spectrometry.....	14
1.2.4 Hyperspectral data processing.....	20
1.3 Examples of Remote Sensing Applications	21
1.4 Summary	33
References	33
Chapter 2 Optical Radiation and Matter	37
2.1 Propagation of Electromagnetic Radiation.....	37
2.1.1 Propagation in free space	38
2.1.2 Propagation in dense media	39
2.1.3 Plane waves in dense media	41
2.2 Complex Index of Refraction.....	44
2.2.1 Relationship with the complex dielectric constant	44
2.2.2 Lorentz oscillator model.....	45
2.2.3 Drude theory of strong conductors	52
2.3 Propagation through Homogenous Media	53
2.4 Reflection and Transmission at Dielectric Interfaces.....	56
2.5 Reflection and Transmission at Conductor Interfaces	61
2.6 Radiometry	69
2.6.1 Point sources	71
2.6.2 Lambertian sources	72
2.6.3 Spherical scatterers	73
2.7 Propagation through Scattering Media	74
2.7.1 Mie scattering theory	76
2.7.2 Rayleigh scattering theory	78

2.8	Summary	80
2.9	Further Reading	81
	References	81
Chapter 3 Atomic and Molecular Spectroscopy		83
3.1	Quantum Mechanics	83
3.1.1	Stationary states of a quantum mechanical system	85
3.1.2	Interaction with electromagnetic radiation	86
3.1.3	Born–Oppenheimer approximation	90
3.2	Electromagnetic Absorption and Emission	91
3.2.1	Einstein coefficients	92
3.2.2	Line broadening	93
3.3	Electronic Spectroscopy of Atoms	95
3.3.1	Single-electron atoms	96
3.3.2	Polyelectronic atoms	101
3.4	Rotational Spectroscopy of Molecules	107
3.5	Vibrational Spectroscopy of Molecules	111
3.5.1	Diatomic molecules	111
3.5.2	Polyatomic molecules	120
3.6	Electronic Spectroscopy of Molecules	123
3.7	Summary	130
3.8	Further Reading	130
	References	131
Chapter 4 Spectral Properties of Materials		133
4.1	Apparent Spectral Properties	133
4.1.1	Homogenous absorbing layer	134
4.1.2	Opaque scattering layer	138
4.1.3	Transparent scattering layer	141
4.1.4	Multiple absorbing layers	142
4.1.5	Multilayer dielectric thin films	144
4.1.6	Rough-surface reflectance	146
4.1.7	Emissivity and Kirchoff’s law	150
4.2	Common Remote Sensing Materials	154
4.2.1	Atmospheric gases	154
4.2.2	Liquid water	158
4.2.3	Vegetation	163
4.2.4	Minerals	172
4.2.5	Soils	179
4.2.6	Road materials	186
4.2.7	Metals	189
4.2.8	Paints and coatings	191

4.3	Summary	193
4.4	Further Reading	195
	References	196

Chapter 5 Remotely Sensed Spectral Radiance 199

5.1	Radiative Transfer Modeling.....	199
5.1.1	Atmospheric modeling.....	201
5.1.2	Moderate-resolution atmospheric transmission and radiation code	206
5.1.3	Atmospheric path transmission.....	209
5.1.4	Atmospheric path radiance.....	217
5.1.5	Downwelling radiance	221
5.2	Remote Sensing Models	227
5.2.1	Facet model for a solid surface	228
5.2.2	Gaseous effluent model.....	233
5.2.3	Shallow-water model.....	235
5.3	Summary	241
	References	241

Chapter 6 Imaging System Design and Analysis 243

6.1	Remote Imaging Systems.....	243
6.2	Optical System Design.....	247
6.2.1	Point spread function.....	247
6.2.2	Optical aberrations	250
6.2.3	Modulation transfer function	256
6.2.4	Lens design.....	257
6.3	FPA Materials and Devices.....	266
6.3.1	Quantum detectors.....	268
6.3.2	Photoconductors.....	270
6.3.3	Photodiodes	272
6.3.4	Detector materials.....	275
6.3.5	Detector noise	281
6.3.6	Detector performance.....	285
6.4	Radiometric Sensitivity	286
6.4.1	Signal and background radiance.....	288
6.4.2	Focal plane irradiance	289
6.4.3	Photoelectronic conversion.....	291
6.4.4	Total system noise	292
6.4.5	Total system performance.....	294
6.5	Spatial Sampling.....	296
6.6	Spatial Resolution	300
6.6.1	Ground-resolved distance	301

6.6.2	System modulation transfer function.....	302
6.7	Image Quality	307
6.8	Summary	310
6.9	Further Reading	310
	References	311
Chapter 7 Dispersive Spectrometer Design and Analysis		313
7.1	Prism Spectrometers	314
7.1.1	Prism dispersion.....	315
7.1.2	Prism spectrometer design.....	319
7.2	Grating Spectrometers.....	324
7.2.1	Grating diffraction.....	325
7.2.2	Grating spectrometer design	331
7.3	Imaging Spectrometer Performance	338
7.3.1	Spatial and spectral mapping	338
7.3.2	Spatial and spectral response functions.....	340
7.3.3	Radiometric sensitivity	346
7.4	System Examples	348
7.4.1	Airborne Visible/Infrared Imaging Spectrometer .	349
7.4.2	Hyperspectral Digital Imagery Collection Experiment.....	351
7.4.3	Hyperion	353
7.4.4	Compact Airborne Spectral Sensor	354
7.4.5	Spatially Enhanced Broadband Array Spectro- graph System.....	357
7.4.6	Airborne Hyperspectral Imager	357
7.5	Summary	360
	References	361
Chapter 8 Fourier Transform Spectrometer Design and Analysis		363
8.1	Fourier Transform Spectrometers.....	364
8.1.1	Interferograms.....	366
8.1.2	Spectrum reconstruction.....	368
8.1.3	Spectral resolution.....	369
8.1.4	Spectral range.....	370
8.1.5	Apodization	372
8.1.6	Uncompensated interferograms	373
8.2	Imaging Temporal Fourier Transform Spectrometers	373
8.2.1	Off-axis effects	375
8.2.2	Additional design considerations	376

8.3	Spatial Fourier Transform Spectrometers	377
8.4	Radiometric Sensitivity	380
	8.4.1 Signal-to-noise ratio.....	380
	8.4.2 Noise-equivalent spectral radiance	382
	8.4.3 Imaging spectrometer sensitivity comparison.....	384
8.5	System Examples	387
	8.5.1 Field-Portable Imaging Radiometric Spectrom- eter Technology	387
	8.5.2 Geosynchronous Imaging Fourier Transform Spectrometer.....	388
	8.5.3 Spatially Modulated Imaging Fourier Transform Spectrometer.....	390
	8.5.4 Fourier Transform Hyperspectral Imager.....	391
8.6	Summary	393
	References	393
Chapter 9 Additional Imaging Spectrometer Designs.....		395
9.1	Fabry–Pérot Imaging Spectrometer	395
9.2	Acousto-optic Tunable Filter.....	400
9.3	Wedge Imaging Spectrometer.....	403
9.4	Chromotomographic Imaging Spectrometer.....	407
	9.4.1 Rotating direct-view prism spectrometer.....	408
	9.4.2 Multi-order diffraction instrument.....	413
9.5	Summary	415
	References	415
Chapter 10 Imaging Spectrometer Calibration.....		417
10.1	Spectral Calibration.....	417
	10.1.1 Spectral mapping estimation.....	418
	10.1.2 Spectral calibration sources.....	419
	10.1.3 Spectral-response-function estimation	420
	10.1.4 Spectral calibration example.....	421
10.2	Radiometric Calibration.....	423
	10.2.1 Nonuniformity correction of panchromatic imaging systems.....	424
	10.2.2 Radiometric calibration sources	431
	10.2.3 Dispersive imaging spectrometer calibration.....	435
	10.2.4 Imaging Fourier transform spectrometer calibration.....	444
10.3	Scene-Based Calibration.....	445
	10.3.1 Vicarious calibration.....	446
	10.3.2 Statistical averaging.....	446

10.4	Summary	449
	References	449
Chapter 11	Atmospheric Compensation	451
11.1	In-Scene Methods	452
11.1.1	Empirical line method	454
11.1.2	Vegetation normalization	458
11.1.3	Blackbody normalization	467
11.1.4	Temperature–emissivity separation	476
11.2	Model-Based Methods	485
11.2.1	Atmospheric Removal Program	485
11.2.2	Fast line-of-sight atmospheric analysis of spectral hypercubes	490
11.2.3	Coupled-subspace model	492
11.2.4	Oblique projection retrieval of the atmosphere	495
11.3	Summary	498
	References	500
Chapter 12	Spectral Data Models	503
12.1	Hyperspectral Data Representation	503
12.1.1	Geometrical representation	504
12.1.2	Statistical representation	507
12.2	Dimensionality Reduction	510
12.2.1	Principal-component analysis	510
12.2.2	Centering and whitening	513
12.2.3	Noise-adjusted principal-components analysis	516
12.2.4	Independent component analysis	520
12.2.5	Subspace model	523
12.2.6	Dimensionality estimation	526
12.3	Linear Mixing Model	529
12.3.1	Endmember determination	531
12.3.2	Abundance estimation	535
12.3.3	Limitations of the linear mixing model	537
12.4	Extensions of the Multivariate Normal Model	539
12.4.1	Local normal model	540
12.4.2	Normal mixture model	544
12.4.3	Generalized elliptically contoured distributions	549
12.5	Stochastic Mixture Model	551
12.5.1	Discrete stochastic mixture model	553
12.5.2	Estimation algorithm	555
12.5.3	Examples of results	558

12.6	Summary	558
12.7	Further Reading	560
	References	560
Chapter 13 Hyperspectral Image Classification		563
13.1	Classification Theory	563
13.2	Feature Extraction	569
	13.2.1 Statistical separability	570
	13.2.2 Spectral derivatives	572
13.3	Linear Classification Algorithms	573
	13.3.1 k -means algorithm	580
	13.3.2 Iterative self-organizing data analysis technique ..	584
	13.3.3 Improved split-and-merge clustering	586
	13.3.4 Linear support vector machine	589
13.4	Quadratic Classification Algorithms	593
	13.4.1 Simple quadratic clustering	594
	13.4.2 Maximum-likelihood clustering	595
	13.4.3 Stochastic expectation maximization	598
13.5	Nonlinear Classification Algorithms	605
	13.5.1 Nonparametric classification	606
	13.5.2 Kernel support vector machine	612
13.6	Summary	614
13.7	Further Reading	615
	References	615
Chapter 14 Hyperspectral Target Detection		617
14.1	Target Detection Theory	617
	14.1.1 Likelihood ratio test	618
	14.1.2 Multivariate normal model	620
	14.1.3 Generalized likelihood ratio test	622
14.2	Anomaly Detection	624
	14.2.1 Mahalanobis distance detector	624
	14.2.2 Reed–Xiaoli detector	630
	14.2.3 Subspace Reed–Xiaoli detector	633
	14.2.4 Complementary subspace detector	635
	14.2.5 Normal mixture model detectors	638
14.3	Signature-Matched Detection	646
	14.3.1 Spectral angle mapper	646
	14.3.2 Spectral matched filter	649
	14.3.3 Constrained energy minimization	657
	14.3.4 Adaptive coherence/cosine estimator	658
	14.3.5 Subpixel spectral matched filter	662

14.3.6	Spectral matched filter with normal mixture model	666
14.3.7	Orthogonal subspace projection	669
14.4	False-Alarm Mitigation	674
14.4.1	Quadratic matched filter	674
14.4.2	Subpixel replacement model.....	676
14.4.3	Mixture-tuned matched filter	678
14.4.4	Finite target matched filter.....	680
14.4.5	Least-angle regression.....	682
14.5	Matched Subspace Detection	684
14.5.1	Target subspace models	685
14.5.2	Subspace adaptive coherence/cosine estimator	689
14.5.3	Joint subspace detector	692
14.5.4	Adaptive subspace detector	694
14.6	Change Detection	695
14.6.1	Affine change model.....	696
14.6.2	Change detection using global prediction	698
14.6.3	Change detection using spectrally segmented prediction.....	703
14.6.4	Model-based change detection.....	707
14.7	Summary	712
14.8	Further Reading	714
	References	714
Index		717